

# Accretive and Sectorial Extensions of Nonnegative Symmetric Operators

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**Abstract** We present the solution to the Phillips–Kato restricted extension problem about description and parametrization of the domains of all maximal accretive and sectorial quasi-self-adjoint extensions  $\tilde{S}(S \subset \tilde{S} \subset S^*)$  of a closed, densely defined nonnegative operator  $S$  in some Hilbert space. This description and parametrization are presented in terms of some sort of an analogy of von Neumann’s formulas for quasi-self-adjoint extensions. We use the approach proposed by Arlinskiĭ and Tsekanovskiĭ (Integr Equ Oper Theory 51:319–356, 2005) and our new formulas match the corresponding ones in the case of nonnegative self-adjoint extensions of  $S$ . An application to operators corresponding to finite number  $\delta'$ -interactions on the real line is given as well as to the parametrization of all resolvents of maximal accretive extensions.

**Keywords** Symmetric operator · Quasi-self-adjoint extensions · Friedrichs extension · Kreĭn-von Neumann extension ·  $m$ -accretive operator ·  $m$ -sectorial operator

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Dedicated to Franek Szafraniec on the occasion of his 70th birthday anniversary.

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## 1 Introduction

Let  $S$  be a closed densely defined symmetric operator acting in the Hilbert space  $\mathfrak{H}$ . An operator  $\tilde{S}$  is called a quasi-self-adjoint extension of  $S$  if

$$S \subset \tilde{S} \subset S^*.$$

Suppose  $S$  is nonnegative, i.e.,  $(Sf, f) \geq 0$  for all  $f \in \text{Dom}(S)$ . We are interested in a solution of the restricted Phillips–Kato extension problem about description and parametrization of the domains of all quasi-self-adjoint maximal accretive (m-accretive) and maximal sectorial (m-sectorial) with vertex at zero [35] extensions  $\tilde{S}$  of  $S$ . This problem is a special case of the general Phillips problem [45, 46] on parametrization of all m-accretive extensions for the given densely defined accretive operator. It was established by Phillips that any closed densely defined accretive operator admits an m-accretive extension. In order to obtain a description of all m-accretive extension Phillips proposed to use the approach connected with geometry of spaces with indefinite inner product. His approach has been applied in [28, 29] for m-accretive boundary value problems generated by positive definite ordinary differential expression, and in [44] for an abstract positive definite symmetric operator with finite defect numbers. The fractional–linear transformation reduces the Phillips problem to the dual problem of a parametrization of all contractive extensions for a given non-densely defined contraction. Such parametrization has been obtained in [21].

The problem of existence and description of all quasi-self-adjoint m-accretive extensions of a nonnegative symmetric operator via fractional–linear transformation has been solved in [14] and via abstract boundary conditions in [4, 25, 26, 36, 43]. We refer on this matter to the survey [18] where one can find information about various approaches to the extension problem of nonnegative symmetric operators. In this paper we give an intrinsic description and parametrization (in terms of some analogy of von Neumann’s formulas for quasi-self-adjoint extensions) of the domains of all m-accretive and m-sectorial quasi-self-adjoint extensions of nonnegative  $S$ . For this purpose we develop and apply the method recently proposed in [15–17] for the characterization of nonnegative self-adjoint extensions. Main results of this paper have been announced in [10].

We keep the following notations:  $\mathbf{L}(\mathfrak{H}_1, \mathfrak{H}_2)$  denotes the Banach space of all continuous linear operators acting from the Hilbert space  $\mathfrak{H}_1$  into the Hilbert space  $\mathfrak{H}_2$ ,  $\mathbf{L}(\mathfrak{H}) = \mathbf{L}(\mathfrak{H}, \mathfrak{H})$  and  $\text{Dom}(T)$ ,  $\text{Ran}(T)$ ,  $\text{Ker } T$ ,  $\rho(T)$  denote the domain, the range, the null-space and the resolvent set of a linear operator  $T$ , respectively. The Moore–Penrose inverse of a self-adjoint operator  $B$  is defined by  $\widehat{B}^{-1}$ , i.e., by definition  $\widehat{B}^{-1} = (B| \text{Ran}(B))^{-1} \oplus 0| \text{Ker } B$ . Symbols  $\mathbb{C}_+$  ( $\mathbb{C}_-$ ) and  $\Pi_+$  ( $\Pi_-$ ) denote the upper (lower) and right (left) open half-planes of the complex plane  $\mathbb{C}$ , respectively.

## 2 Preliminaries

### 2.1 Symmetric, Self-Adjoint, and Dissipative Operators

Let  $\mathfrak{H}$  be a separable Hilbert space with the inner product  $(\cdot, \cdot)$ . A closed linear operator  $S$  in  $\mathfrak{H}$  is called symmetric if its domain  $\text{Dom}(S)$  is a dense linear manifold in  $\mathfrak{H}$  and the quadratic form  $(Sf, f)$  takes real values for all  $f \in \text{Dom}(S)$ . This means that  $(Sf, g) = (f, Sg)$  for all  $f, g \in \text{Dom}(S)$ . Equivalently  $S \subset S^*$ , where  $S^*$  is the adjoint operator to  $S$ . An operator  $A$  is called self-adjoint if  $A = A^*$ . It is well known that  $\rho(A) \supset \mathbb{C}_+ \cup \mathbb{C}_-$ .

An operator  $T$  in  $\mathfrak{H}$  is called dissipative (anti-dissipative) if

$$\text{Im}(Tf, f) \geq 0 \quad (\text{Im}(Tf, f) \leq 0) \quad \text{for all } f \in \text{Dom}(T).$$

A dissipative (anti-dissipative) operator  $T$  is called maximal dissipative (maximal anti-dissipative) if  $\rho(T) \cap \mathbb{C}_- \neq \emptyset$  ( $\rho(T) \cap \mathbb{C}_+ \neq \emptyset$ ).

### 2.2 Nonnegative Symmetric, Accretive, and Sectorial Operators

A symmetric operator  $S$  is called nonnegative (we will write  $S \geq 0$ ) if  $(Sf, f) \geq 0$  for all  $f \in \text{Dom}(S)$ .

If  $B$  and  $C$  are two bounded self-adjoint operators acting on  $\mathfrak{H}$ , then the notation  $B \geq C$  means that the operator  $B - C \geq 0$ . As is well known the square root  $B^{1/2}$  of a nonnegative self-adjoint operator  $B$  has the following properties:

$$\text{Ran}(B^{1/2}) = \left\{ g \in \mathfrak{H} : \sup_{f \in \text{Dom}(B)} \frac{|(f, g)|^2}{(Bf, f)} < \infty \right\}, \quad (2.1)$$

$$\|\widehat{B}^{-1/2}g\|^2 = \sup_{f \in \mathfrak{H}} \frac{|(f, g)|^2}{(Bf, f)}, \quad g \in \text{Ran}(B^{1/2}),$$

$$\lim_{z \uparrow 0} \left( (B - zI)^{-1}g, g \right) = \begin{cases} \|\widehat{B}^{-1/2}g\|^2, & g \in \text{Ran}(B^{1/2}), \\ +\infty, & g \in \mathfrak{H} \setminus \text{Ran}(B^{1/2}), \end{cases} \quad (2.2)$$

cf. [41].

A linear operator  $T$  in  $\mathfrak{H}$  is called accretive if  $\text{Re}(Tf, f) \geq 0$  for all  $f \in \text{Dom}(T)$  and maximal accretive ( $m$ -accretive) if it is accretive and has no accretive extensions in  $\mathfrak{H}$ . The following statements are equivalent [46]:

- (i) the operator  $T$  is  $m$ -accretive;
- (ii) the operator  $T$  is accretive and  $\rho(T) \cap \Pi_- \neq \emptyset$ ;
- (iii) the operators  $T$  and  $T^*$  are accretive.

The resolvent set  $\rho(T)$  of  $m$ -accretive operator contains the open left half-plane  $\Pi_-$  and

$$\|(T - zI_{\mathfrak{H}})^{-1}\| \leq \frac{1}{|\text{Re } z|}, \quad \text{Re } z < 0.$$

It is well known [35] that if  $T$  is  $m$ -accretive operator, then the one-parameter semigroup

$$T(t) = \exp(-tT), \quad t \geq 0$$

is contractive. Conversely [35], if  $\{T(t)\}_{t \geq 0}$  is a strongly continuous one-parameter contractive semigroup in a Hilbert space  $\mathfrak{H}$ , with  $T(0) = I_{\mathfrak{H}}$  ( $C_0$ -semigroup), then the generator  $T$  of  $T(t)$ :

$$Tu := \lim_{t \rightarrow +0} \frac{(I_{\mathfrak{H}} - T(t))u}{t}, \quad u \in \text{Dom}(T),$$

where the domain  $\text{Dom}(T)$  is defined by the condition:

$$\text{Dom}(T) = \left\{ u \in \mathfrak{H} : \lim_{t \rightarrow +0} \frac{(I_{\mathfrak{H}} - T(t))u}{t} \text{ exists} \right\},$$

is an  $m$ -accretive operator in  $\mathfrak{H}$ .

Let  $\alpha \in (0, \pi/2)$  and denote by  $\mathcal{S}(\alpha)$  the following sector of the complex plane:

$$\mathcal{S}(\alpha) = \{ z \in \mathbb{C} : |\arg z| \leq \alpha \}.$$

A linear operator  $T$  in a Hilbert space  $\mathfrak{H}$  is said to be sectorial with vertex at the origin and semi-angle  $\alpha$ , if its numerical range

$$W(T) = \{ (Tf, f) : \|f\| = 1, f \in \text{Dom}(T) \}$$

is contained in the sector  $\mathcal{S}(\alpha)$ , cf. [35]. This condition is equivalent to

$$|\text{Im}(Tf, f)| \leq \tan \alpha \text{Re}(Tf, f) \quad \text{for all } f \in \text{Dom}(T).$$

If  $T$  is  $m$ -accretive and sectorial, then  $T$  is called maximal sectorial. A maximal sectorial operator  $T$  is densely defined and its adjoint  $T^*$  is also a maximal sectorial operator. In the sequel we will call such operators  $m$ - $\alpha$ -sectorial. Clearly, nonnegative (self-adjoint) operator is  $m$ -0-sectorial. The resolvent set of  $m$ - $\alpha$ -sectorial operator  $T$  contains the set  $\mathbb{C} \setminus \mathcal{S}(\alpha)$  and

$$\|(T - zI_{\mathfrak{H}})^{-1}\| \leq \frac{1}{\text{dist}(z, \mathcal{S}(\alpha))}, \quad z \in \mathbb{C} \setminus \mathcal{S}(\alpha).$$

It is well-known [35] that a  $C_0$ -semigroup  $T(t) = \exp(-tT)$ ,  $t \geq 0$  has contractive and holomorphic continuation into the sector  $\mathcal{S}(\pi/2 - \alpha)$  if and only if the generator  $T$  is  $m$ - $\alpha$ -sectorial operator.

### 2.3 Classes $C_{\mathfrak{H}}(\alpha)$

Let  $\alpha \in (0, \pi/2)$ . A bounded operator  $T$  on a Hilbert space  $\mathfrak{H}$  is said to belong to the class  $C_{\mathfrak{H}}(\alpha)$  [3] if

$$\|T \sin \alpha \pm i \cos \alpha I\| \leq 1. \quad (2.3)$$

Clearly,  $T$  belongs to  $C_{\mathfrak{H}}(\alpha)$  if and only if  $T^*$  belongs to  $C_{\mathfrak{H}}(\alpha)$ . Put

$$D_T = (I - T^*T)^{1/2}, \quad \mathfrak{D}_T = \overline{\text{Ran}}(D_T).$$

Condition (2.3) is equivalent to each of the following two:

$$|(T_I f, f)| \leq \frac{\tan \alpha}{2} \|D_T f\|^2 \quad \text{for all } f \in \mathfrak{H}; \quad (2.4)$$

or

$$\text{the operator } (I - T^*)(I + T) \text{ is } m\text{-}\alpha\text{-sectorial.} \quad (2.5)$$

cf. [5]. Moreover, it follows from (2.3) that the operators belonging to  $C_{\mathfrak{H}}(\alpha)$  are contractive. From (2.4) and (2.3) it is naturally to consider all self-adjoint contractions and all contractions in  $\mathfrak{H}$  as operators of the classes  $C_{\mathfrak{H}}(0)$  and  $C_{\mathfrak{H}}(\pi/2)$ , respectively.

Note that the linear fractional transformation  $T = (I - S)(I + S)^{-1}$  of an  $m$ - $\alpha$ -sectorial operator  $S$  is an operator of the class  $C_{\mathfrak{H}}(\alpha)$ . Let

$$\tilde{C}_{\mathfrak{H}} = \bigcup \{C_{\mathfrak{H}}(\alpha) : \alpha \in [0, \pi/2)\}.$$

Some properties of the operators in the class  $\tilde{C}_{\mathfrak{H}}$  were studied in [3, 5]. In particular, in [3] it was proved that  $T \in \tilde{C}_{\mathfrak{H}}$  implies that

$$\text{Ran}(D_{T^n}) = \text{Ran}(D_{T^{*n}}) = \text{Ran}(D_{T_R}), \quad n = 1, 2, \dots,$$

where  $T_R$  is the real part of  $T$ . Furthermore it was proved in [3] that the subspace  $\mathfrak{D}_T$  reduces the operator  $T$ , that the operator  $T|_{\text{Ker } D_T}$  is self-adjoint and unitary, and that  $T|_{\mathfrak{D}_T}$  is a completely non-unitary contraction of the class  $C_{00}$ , i.e.,

$$\lim_{n \rightarrow \infty} T^n f = \lim_{n \rightarrow \infty} T^{*n} f = 0 \quad \text{for all } f \in \mathfrak{D}_T,$$

cf. [48].

## 2.4 Linear Relations

As is well known a *linear relation* (l.r.) in a Hilbert space  $\mathcal{H}$  is a subspace in  $\mathcal{H}^2 := \mathcal{H} \oplus \mathcal{H}$  equipped by the standard inner product

$$(\vec{u}, \vec{v})_{\mathcal{H}^2} = (u_1, v_1) + (u_2, v_2)$$

for  $\vec{u} = \langle u_1, u_2 \rangle, \vec{v} = \langle v_1, v_2 \rangle \in \mathcal{H}^2$ . In particular the graph

$$\text{Gr}(T) = \{\langle h, Th \rangle, h \in \text{Dom}(T)\}$$

of a linear operator  $T$  in  $\mathcal{H}$  provides an example of l.r.. If  $\mathbf{T}$  is a l.r., then by definition

$$\begin{aligned}\text{Dom}(\mathbf{T}) &= \{x \in \mathcal{H} : \langle x, x' \rangle \in \mathbf{T} \text{ for some } x' \in \mathcal{H}\}, \\ \text{Ran}(\mathbf{T}) &= \{x' \in \mathcal{H} : \langle x, x' \rangle \in \mathbf{T} \text{ for some } x \in \mathcal{H}\}, \\ \text{Ker } \mathbf{T} &= \{x \in \text{Dom}(\mathbf{T}) : \langle x, 0 \rangle \in \mathbf{T}\}, \\ \lambda \mathbf{T} &= \{\langle x, \lambda x' \rangle, \langle x, x' \rangle \in \mathbf{T}\}, \\ \mathbf{T}^{-1} &= \{\langle x', x \rangle : \langle x, x' \rangle \in \mathbf{T}\}.\end{aligned}$$

For  $x \in \text{Dom}(\mathbf{T})$  we set

$$\mathbf{T}x = \{x' \in \mathcal{H} : x' \in \text{Ran}(\mathbf{T})\}.$$

The subspace

$$\mathbf{T}(0) = \{x' \in \mathcal{H} : \langle 0, x' \rangle \in \mathbf{T}\}$$

is called the multi-valued part of  $\mathbf{T}$ . A subspace  $\mathbf{T} \ominus \langle 0, \mathbf{T}(0) \rangle$  is the graph of a linear operator  $T$ ,  $\text{Dom}(T) = \text{Dom}(\mathbf{T})$ , which is called the *operator part* of  $\mathbf{T}$ . Clearly,  $\mathbf{T}x = Tx \oplus \mathbf{T}(0)$ . The *adjoint*  $\mathbf{T}^*$  to  $\mathbf{T}$  is given by

$$\mathbf{T}^* = \mathcal{H}^2 \ominus \{\langle -x', x \rangle, \langle x, x' \rangle \in \mathbf{T}\}.$$

The numerical range of a l.r.  $\mathbf{T}$  is the set

$$W(\mathbf{T}) = \{(\mathbf{T}x, x), x \in \text{Dom}(\mathbf{T}), \|x\| = 1\}.$$

As has been shown in [47] if  $W(\mathbf{T}) \neq \mathbb{C}$ , then  $\mathbf{T}(0) \subseteq \mathcal{H} \ominus \overline{\text{Dom}(\mathbf{T})}$ .

A l.r.  $\mathbf{T}$  is called

- *Hermitian* if  $W(\mathbf{T}) \subseteq \mathbb{R} \iff \mathbf{T} \subseteq \mathbf{T}^*$ ;
- *selfadjoint* if  $\mathbf{T} = \mathbf{T}^*$ ;
- *nonnegative* if  $W(\mathbf{T}) \subseteq \mathbb{R}_+$ ;
- *accretive* if  $W(\mathbf{T}) \subseteq \Pi_+$ ;
- *m-accretive* if  $\mathbf{T}$  is accretive and has no accretive extensions in  $\mathcal{H}^2$ ;
- *$\alpha$ -sectorial* if  $W(\mathbf{T}) \subseteq \mathcal{S}(\alpha)$ ;
- *m- $\alpha$ -sectorial* if  $\mathbf{T}$  is  $\alpha$ -sectorial and m-accretive.

It is well-known that there is one-to-one correspondence between all m-accretive l.r.  $\mathbf{U}$  in a Hilbert space  $\mathcal{H}$  and all contractions  $\mathcal{U}$  in  $\mathcal{H}$  given by fractional-linear transformations

$$\begin{aligned}\mathbf{U} &= \{ \langle (I + \mathcal{U})h, (I - \mathcal{U})h \rangle, h \in \mathcal{H} \} = (I - \mathcal{U})(I + \mathcal{U})^{-1}, \\ \mathcal{U}(x + x') &= x - x', \langle x, x' \rangle \in \mathbf{U} \iff \mathcal{U} = (I - \mathbf{U})(I + \mathbf{U})^{-1}.\end{aligned}$$

Moreover [3]

$$\mathcal{U} \in C_{\mathcal{H}}(\alpha) \iff \mathbf{U} = (I - \mathcal{U})(I + \mathcal{U})^{-1} \text{ is m-}\alpha\text{-sectorial l.r.}$$

In particular, a l.r.  $\mathbf{U}$  is self-adjoint and nonnegative if and only if the operator  $\mathcal{U}$  is self-adjoint contraction.

## 2.5 Sectorial Sesquilinear Forms

Recall some definitions and results from [35]. Let  $\tau[\cdot, \cdot]$  be a sesquilinear form in a Hilbert space  $\mathcal{H}$  defined on a linear manifold  $\text{Dom}(\tau)$ . The form  $\tau$  is called symmetric if  $\tau[u, v] = \overline{\tau[v, u]}$  for all  $u, v \in \text{Dom}(\tau)$  and nonnegative if  $\tau[u] := \tau[u, u] \geq 0$  for all  $u \in \text{Dom}(\tau)$ .

The form  $\tau$  is called sectorial with the vertex at the point  $\gamma \in \mathbb{C}$  and a semi-angle  $\alpha \in [0, \pi/2)$  if its numerical range

$$W(\tau) = \{\tau[u], u \in \text{Dom}(\tau), \|u\| = 1\}$$

is contained in the sector  $\{z \in \mathbb{C} : |\arg(z - \gamma)| \leq \alpha\}$ , i.e.,

$$\left| \text{Im}(\tau[u] - \gamma\|u\|^2) \right| \leq \tan \alpha \text{Re}(\tau[u] - \gamma\|u\|^2), \quad u \in \text{Dom}(\tau).$$

Thus,  $\tau$  is sectorial with vertex at  $\gamma$  if and only if the form  $\tau[u, v] - \gamma(u, v)$  has vertex at the origin.

Let  $\tau$  be a sesquilinear form. The form  $\tau^*[u, v] := \overline{\tau[v, u]}$  is called the adjoint to  $\tau$ , and the forms

$$\begin{aligned} \tau_R[u, v] &:= \frac{1}{2}(\tau[u, v] + \tau^*[u, v]), \\ \tau_I[u, v] &:= \frac{1}{2i}(\tau[u, v] - \tau^*[u, v]), \quad u, v \in \text{Dom}(\tau) \end{aligned}$$

are called the real and the imaginary parts of  $\tau$ , respectively.

A sequence  $\{u_n\}$  is called  $\tau$ -converging to the vector  $u \in \mathcal{H}$  if

$$\lim_{n \rightarrow \infty} u_n = u \quad \text{and} \quad \lim_{n, m \rightarrow \infty} \tau[u_n - u_m] = 0.$$

The form  $\tau$  is called closed if for every sequence  $\{u_n\}$   $\tau$ -converging to a vector  $u$  it follows that  $u \in \text{Dom}(\tau)$  and  $\lim_{n \rightarrow \infty} \tau[u - u_n] = 0$ . A sectorial form  $\tau$  with vertex at the origin is closed if and only if the linear manifold  $\text{Dom}(\tau)$  is a Hilbert space with the inner product  $(u, v)_\tau = \tau_R[u, v] + (u, v)$  [35]. The form  $\tau$  is called closable if it has a closed extension; in this case the closure of  $\tau$  is the smallest closed extension of  $\tau$ . If  $\tau$  is a closed, densely defined sectorial form, then according to First Representation Theorem [35, 37] there exists a unique  $m$ -sectorial operator  $T$  in  $\mathcal{H}$ , associated with  $\tau$ , i.e.,

$$(Tu, v) = \tau[u, v] \quad \text{for all } u \in \text{Dom}(T) \quad \text{and} \quad \text{for all } v \in \text{Dom}(\tau).$$

In this case the operator  $T^*$  is associated with the adjoint form

$$\tau^*[u, v] = \overline{\tau[v, u]}, \quad u, v \in \text{Dom}(\tau).$$

The nonnegative self-adjoint operator, denoted by  $T_R$ , associated with the real part

$$\tau_R[u, v] = \frac{1}{2} (\tau[u, v] + \tau^*[u, v]), \quad u, v \in \text{Dom}(\tau)$$

of the form  $\tau$  is called the “real part” of  $T$ . According to Second Representation Theorem [35, 37] the identities hold:

$$\text{Dom}(\tau) = \text{Dom}\left(T_R^{\frac{1}{2}}\right), \quad \tau_R[u, v] = \left(T_R^{\frac{1}{2}}u, T_R^{\frac{1}{2}}v\right).$$

If the form  $\tau$  is  $\alpha$ -sectorial, then it has the representation

$$\tau[u, v] = ((I + iM)T_R^{\frac{1}{2}}u, T_R^{\frac{1}{2}}v), \quad u, v \in \text{Dom}(\tau),$$

where  $M$  is a bounded self-adjoint operator in the subspace  $\overline{\text{Ran}}(T_R)$  and  $\|M\| \leq \tan \alpha$ . For  $T$  one obtains

$$\text{Dom}(T) = \{u \in \text{Dom}(\tau) : (I + iM)T_R^{1/2}u \in \text{Dom}(\tau)\}, \quad T = T_R^{1/2}(I + iM)T_R^{1/2}u.$$

If  $T$  is a sectorial operator, then the form

$$\tau[u, v] = (Tu, v), \quad u, v \in \text{Dom}(T)$$

is closable. The domain of its closure  $T[\cdot, \cdot]$  we denote by  $\mathcal{D}[T]$ .

If  $\tau$  is closed but non-densely defined sectorial form in the Hilbert space  $\mathcal{H}$ , then with  $\tau$  is associated the  $m$ -sectorial linear relation  $\mathbf{T}$  [47]. Moreover,

$$\begin{aligned} (\mathbf{T}x, y)_{\mathcal{H}} &= (Tx, y)_{\mathcal{H}}, \quad x, y \in \text{Dom}(\mathbf{T}), \\ \text{Dom}(\tau) &= \mathcal{D}[\mathbf{T}] = \mathcal{D}[T], \end{aligned}$$

where  $T$  is the operator part of  $\mathbf{T}$ . Let  $\mathbf{T}_1$  and  $\mathbf{T}_2$  be two nonnegative self-adjoint linear relations. We write  $\mathbf{T}_1 \leq \mathbf{T}_2$  if

$$\mathcal{D}[\mathbf{T}_1] \supseteq \mathcal{D}[\mathbf{T}_2] \quad \text{and} \quad \mathbf{T}_1[u] \leq \mathbf{T}_2[u], \quad u \in \mathcal{D}[\mathbf{T}_2].$$

The next theorem will be used in Sect. 3.

**Theorem 2.1** *Let a sesquilinear form  $\tau[u, v]$  be nonnegative and closed in the Hilbert space  $\mathcal{H}$  with the inner product  $(\cdot, \cdot)_{\mathcal{H}}$ . Let  $\mathbf{T}$  be the associated nonnegative self-adjoint linear relation in  $\mathcal{H}$  and let  $\mathcal{T}$  be its fractional-linear transformation  $\mathcal{T} = (I - \mathbf{T})(I + \mathbf{T})^{-1}$ .*



A  $m$ -accretive l.r.  $\mathbf{U}$  satisfies the condition

$$\text{Ran } (\mathbf{U}) \subset \text{Dom } (\tau), \quad \text{Re } (\mathbf{U}x, x)_{\mathcal{H}} \geq \tau[\mathbf{U}x], \quad x \in \text{Dom } (\mathbf{U}) \quad (2.6)$$

if and only if the fractional-linear transformation  $\mathcal{U}$  of  $\mathbf{U}$  has the representation

$$\mathcal{U} = I - \frac{1}{2} (I + T)^{1/2} (I + \mathcal{Y}) (I + T)^{1/2}, \quad (2.7)$$

where  $\mathcal{Y}$  is a contraction in the subspace  $\overline{\text{Dom}} (\tau) = \overline{\text{Ran}} (I + T)$ .

*Proof* Let  $x = (I + \mathcal{U})h$ ,  $x' = (I - \mathcal{U})h$ , where  $h \in \mathcal{H}$ . Then  $\langle x, x' \rangle \in \mathbf{U}$  and

$$\begin{aligned} (x', x)_{\mathcal{H}} &= (\mathbf{U}x, x)_{\mathcal{H}} = ((I - \mathcal{U})h, (I + \mathcal{U})h)_{\mathcal{H}} \\ &= -\|(I - \mathcal{U})h\|_{\mathcal{H}}^2 + 2((I - \mathcal{U})h, h)_{\mathcal{H}} \\ &= -\|x'\|_{\mathcal{H}}^2 + 2((I - \mathcal{U})h, h)_{\mathcal{H}}. \end{aligned}$$

Similarly for  $y = (I + T)h$  and  $y' = (I - T)h$  we have  $\langle y, y' \rangle \in \mathbf{T}$  and

$$(y', y)_{\mathcal{H}} = (\mathbf{T}y, y)_{\mathcal{H}} = -\|y\|_{\mathcal{H}}^2 + 2\|(I + T)^{1/2}h\|_{\mathcal{H}}^2.$$

Passing to the closure, we obtain

$$\begin{aligned} \text{Dom } (\tau) &= \text{Ran } \left( (I + T)^{1/2} \right), \\ \tau[v] &= -\|v\|_{\mathcal{H}}^2 + 2\|(I + T)^{-1/2}v\|_{\mathcal{H}}^2, \quad v \in \text{Dom } (\tau), \end{aligned}$$

where  $(I + T)^{-1}$  is Moore–Penrose inverse for  $(I + T)$ .

Hence

$$\text{Ran } (\mathbf{U}) \subset \text{Dom } (\tau) \iff \text{Ran } (I - \mathcal{U}) \subset \text{Ran } \left( (I + T)^{1/2} \right)$$

and

$$(\mathbf{U}x, x)_{\mathcal{H}} - \tau[\mathbf{U}x] = 2((I - \mathcal{U})h, h)_{\mathcal{H}} - 2\|(I + T)^{-1/2}(I - \mathcal{U})h\|_{\mathcal{H}}^2 \quad (2.8)$$

for  $x = (I + \mathcal{U})h$ ,  $\mathbf{U}x = x' = (I - \mathcal{U})h$ ,  $h \in \mathcal{H}$ .

Suppose that  $\text{Re } (\mathbf{U}x, x)_{\mathcal{H}} \geq \tau[\mathbf{U}x]$  for all  $x \in \text{Dom } (\mathbf{U})$ . Then

$$\text{Re } ((I - \mathcal{U})h, h)_{\mathcal{H}} \geq \|(I + T)^{-1/2}(I - \mathcal{U})h\|_{\mathcal{H}}^2 \quad \text{for all } h \in \mathcal{H}. \quad (2.9)$$

Let  $\mathcal{U}_R = (\mathcal{U} + \mathcal{U}^*)/2$  be the real part of  $\mathcal{U}$ . Then (2.9) yields the equality

$$(I + T)^{-1/2}(I - \mathcal{U}) = \mathcal{V}(I - \mathcal{U}_R)^{1/2},$$

where  $\mathcal{V} : \overline{\text{Ran}}(I - \mathcal{U}_R) \rightarrow \overline{\text{Ran}}(I + \mathcal{T})$  is a contraction. It follows that

$$I - \mathcal{U} = (I + \mathcal{T})^{1/2} \mathcal{V} (I - \mathcal{U}_R)^{1/2}$$

and for all  $h \in \mathcal{H}$

$$\begin{aligned} \left\| (I - \mathcal{U}_R)^{1/2} h \right\|_{\mathcal{H}}^2 &= \text{Re} \left( (I - \mathcal{U})h, h \right)_{\mathcal{H}} = \text{Re} \left( (I + \mathcal{T})^{1/2} h, \mathcal{V} (I - \mathcal{U}_R)^{1/2} h \right)_{\mathcal{H}} \\ &\leq \left\| (I + \mathcal{T})^{1/2} h \right\|_{\mathcal{H}} \left\| (I - \mathcal{U}_R)^{1/2} h \right\|_{\mathcal{H}}. \end{aligned}$$

Therefore  $\left\| (I - \mathcal{U}_R)^{1/2} h \right\|_{\mathcal{H}} \leq \left\| (I + \mathcal{T})^{1/2} h \right\|_{\mathcal{H}}$  and, as a consequence, for all  $h, g \in \mathcal{H}$

$$\begin{aligned} \left| \left( (I - \mathcal{U})h, g \right)_{\mathcal{H}} \right| &= \left| \left( \mathcal{V} (I - \mathcal{U}_R)^{1/2} h, (I + \mathcal{T})^{1/2} g \right)_{\mathcal{H}} \right| \\ &\leq \left\| (I - \mathcal{U}_R)^{1/2} h \right\|_{\mathcal{H}} \left\| (I + \mathcal{T})^{1/2} g \right\|_{\mathcal{H}} \\ &\leq \left\| (I + \mathcal{T})^{1/2} h \right\|_{\mathcal{H}} \left\| (I + \mathcal{T})^{1/2} g \right\|_{\mathcal{H}}. \end{aligned}$$

It follows that

$$I - \mathcal{U} = (I + \mathcal{T})^{1/2} \mathcal{Z} (I + \mathcal{T})^{1/2}, \quad (2.10)$$

where  $\mathcal{Z}$  is a contraction in the subspace  $\mathcal{H}_0 := \overline{\text{Ran}}(I + \mathcal{T})$ . This equality produces for all  $h \in \mathcal{H}$

$$\text{Re} \left( (I - \mathcal{U})h, h \right)_{\mathcal{H}} = \text{Re} \left( \mathcal{Z} (I + \mathcal{T})^{1/2} h, (I + \mathcal{T})^{1/2} h \right)_{\mathcal{H}},$$

and by (2.9)

$$\text{Re} \left( \mathcal{Z} (I + \mathcal{T})^{1/2} h, (I + \mathcal{T})^{1/2} h \right)_{\mathcal{H}} \geq \left\| \mathcal{Z} (I + \mathcal{T})^{1/2} h \right\|_{\mathcal{H}}^2.$$

Therefore

$$\text{Re} (\mathcal{Z}\varphi, \varphi)_{\mathcal{H}} \geq \|\mathcal{Z}\varphi\|_{\mathcal{H}}^2 \quad \text{for all } \varphi \in \mathcal{H}_0. \quad (2.11)$$

Let  $\mathcal{Y} = 2\mathcal{Z} - I$ . Then  $\mathcal{Z} = (I + \mathcal{Y})/2$ . Because

$$2\text{Re} \left( (I + \mathcal{Y})\varphi, \varphi \right)_{\mathcal{H}} - \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 = \|\varphi\|_{\mathcal{H}}^2 - \|\mathcal{Y}\varphi\|_{\mathcal{H}}^2, \quad \varphi \in \mathcal{H}_0,$$

(2.11) yields that  $\mathcal{Y}$  is a contraction in  $\mathcal{H}_0$  and

$$\mathcal{U} = I - \frac{1}{2} (I + \mathcal{T})^{1/2} (I + \mathcal{Y}) (I + \mathcal{T})^{1/2}.$$

Conversely, suppose that an operator  $\mathcal{U}$  takes the form (2.7) with some contraction  $\mathcal{Y}$  in  $\mathcal{H}_0$ . Let us prove that  $\mathcal{U}$  is a contraction in  $\mathcal{H}$ . Because of

$$2\operatorname{Re} ((I - \mathcal{U})h, h)_{\mathcal{H}} - \|(I - \mathcal{U})h\|_{\mathcal{H}}^2 = \|h\|_{\mathcal{H}}^2 - \|\mathcal{U}h\|_{\mathcal{H}}^2, \quad h \in \mathcal{H}$$

it is sufficient to prove that  $2\operatorname{Re} ((I - \mathcal{U})h, h)_{\mathcal{H}} - \|(I - \mathcal{U})h\|_{\mathcal{H}}^2 \geq 0$  for all  $h \in \mathcal{H}$ . Denote  $\varphi = (I + \mathcal{T})^{1/2}h$ . By (2.7) we have

$$\begin{aligned} 2\operatorname{Re} ((I - \mathcal{U})h, h)_{\mathcal{H}} - \|(I - \mathcal{U})h\|_{\mathcal{H}}^2 &= \operatorname{Re} ((I + \mathcal{Y})\varphi, \varphi)_{\mathcal{H}} \\ &\quad - \frac{1}{4} \left\| (I + \mathcal{T})^{1/2}(I + \mathcal{Y})\varphi \right\|_{\mathcal{H}}^2 = \operatorname{Re} ((I + \mathcal{Y})\varphi, f)_{\mathcal{H}} \\ &\quad - \frac{1}{4} \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 - \frac{1}{4} (\mathcal{T}(I + \mathcal{Y})\varphi, (I + \mathcal{Y})f)_{\mathcal{H}} \\ &= \operatorname{Re} ((I + \mathcal{Y})\varphi, f)_{\mathcal{H}} - \frac{1}{2} \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 \\ &\quad + \frac{1}{4} \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 - \frac{1}{4} (\mathcal{T}(I + \mathcal{Y})\varphi, (I + \mathcal{Y})f)_{\mathcal{H}} \\ &= \|\varphi\|_{\mathcal{H}}^2 - \|\mathcal{Y}\varphi\|_{\mathcal{H}}^2 + \frac{1}{4} \left\| (I - \mathcal{T})^{1/2}(I + \mathcal{Y})\varphi \right\|_{\mathcal{H}}^2 \geq 0. \end{aligned}$$

Thus the operator  $\mathcal{U}$  is contraction in  $\mathcal{H}$ . Moreover,  $\operatorname{Ran} (I - \mathcal{U}) \subset \operatorname{Ran} ((I + \mathcal{T})^{1/2}) = \operatorname{Dom} (\tau)$  and

$$(I + \mathcal{T})^{-1/2}(I - \mathcal{U}) = \frac{1}{2}(I + \mathcal{Y})(I + \mathcal{T})^{1/2}.$$

Denoting again  $\varphi = (I + \mathcal{T})^{1/2}h$ , where  $h \in \mathcal{H}$  we obtain

$$\begin{aligned} \operatorname{Re} ((I - \mathcal{U})h, h)_{\mathcal{H}} &\geq \left\| (I + \mathcal{T})^{-1/2}(I - \mathcal{U})h \right\|_{\mathcal{H}}^2 \\ &= \frac{1}{2} \operatorname{Re} ((I + \mathcal{Y})\varphi, \varphi)_{\mathcal{H}} - \frac{1}{4} \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 \\ &= \frac{1}{4} \left( \|\varphi\|_{\mathcal{H}}^2 - \|\mathcal{Y}\varphi\|_{\mathcal{H}}^2 \right) \geq 0. \end{aligned}$$

Thus, holds (2.9). Let  $\mathbf{U} = \{ \langle (I + \mathcal{U})h, (I - \mathcal{U})h \rangle, h \in \mathcal{H} \}$ . Since  $\mathcal{U}$  is a contraction, the linear relation  $\mathbf{U}$  is  $m$ -accretive,  $\operatorname{Ran} (\mathbf{U}) \subset \operatorname{Dom} (\tau)$ , and

$$\operatorname{Re} (\mathbf{U}x, x)_{\mathcal{H}} \geq \tau[\mathbf{U}x], \quad x \in \operatorname{Dom} (\mathbf{U})$$

holds. □

**Corollary 2.2** *A  $m$ -accretive l.r.  $\mathbf{U}$  in  $\mathcal{H}$  satisfies the condition*

$$\begin{aligned} \operatorname{Ran} (\mathbf{U}) &\subset \operatorname{Dom} (\tau), \\ \tan \alpha (\operatorname{Re} (\mathbf{U}x, x)_{\mathcal{H}} - \tau[\mathbf{U}x]) &\geq |\operatorname{Im} (\mathbf{U}x, x)_{\mathcal{H}}|, \quad x \in \operatorname{Dom} (\mathbf{U}) \end{aligned} \tag{2.12}$$

if and only if the fractional-linear transformation  $\mathcal{U}$  of  $\mathbf{U}$  has the representation (2.7) with  $\mathcal{Y}$  satisfying the condition

$$\|\mathcal{Y} \sin \alpha \pm i \cos \alpha I\|_{\mathcal{H}} \leq 1, \quad (2.13)$$

i.e.,  $\mathcal{Y} \in C_{\mathcal{H}_0}(\alpha)$ , where  $\mathcal{H}_0 = \overline{\text{Dom}}(\tau)$ .

*Proof* Condition (2.13) is equivalent to the following

$$\tan \alpha \left( \|\varphi\|_{\mathcal{H}}^2 - \|\mathcal{Y}\varphi\|_{\mathcal{H}}^2 \right) \geq 2|\text{Im}(\mathcal{Y}\varphi, \varphi)_{\mathcal{H}}|, \quad \varphi \in \text{Dom}(\mathcal{Y}). \quad (2.14)$$

From (2.8) and (2.7) it follows that (2.12) is equivalent to

$$\tan \alpha \left( \text{Re}((I + \mathcal{Y})\varphi, \varphi)_{\mathcal{H}} - \frac{1}{2} \|(I + \mathcal{Y})\varphi\|_{\mathcal{H}}^2 \right) \geq |\text{Im}((I + \mathcal{Y})\varphi, \varphi)_{\mathcal{H}}|$$

for all  $\varphi \in \mathcal{H}_0 = \text{Dom}(\mathcal{Y})$ . The right hand side of the above inequality is exactly

$$\frac{1}{2} \left( \|\varphi\|_{\mathcal{H}}^2 - \|\mathcal{Y}\varphi\|_{\mathcal{H}}^2 \right).$$

Thus  $\mathbf{U}$  satisfies (2.12) iff (2.13) holds.  $\square$

*Remark 2.3* In [17] for nonnegative self-adjoint l.r.  $\mathbf{U}$  and  $\mathbf{T}$  it is proved that the following statements

- (i)  $\text{Ran}(\mathbf{U}) \subset \text{Dom}(\mathbf{T})$  and  $(\mathbf{U}u, u) \geq \mathbf{T}[\mathbf{U}u], u \in \text{Dom}(\mathbf{U})$ ,
- (ii)  $\mathbf{U} \geq \mathbf{T}^{-1}$ ,
- (iii)  $\mathbf{U}^{-1} \leq \mathbf{T}$

are equivalent.

If  $\mathbf{U}$  is a l.r. and  $\mathbf{T}$  is a nonnegative l.r., then one can easily prove that the statements

- (i)  $\text{Ran}(\mathbf{U}) \subset \text{Dom}(\mathbf{T})$  and  $\text{Re}(\mathbf{U}u, u) \geq \mathbf{T}[\mathbf{U}u]$ ,
- (ii)  $\text{Re}(\mathbf{U}^{-1}x, x) \geq \mathbf{T}[x], x \in \text{Ran}(\mathbf{U})$

are equivalent.

## 2.6 Quasi-Self-Adjoint Extensions of Symmetric Operator

Let  $\mathfrak{H}$  be a separable complex Hilbert space and let  $S$  be a symmetric operator in  $\mathfrak{H}$ . Let

$$\mathfrak{N}_z = \mathfrak{H} \ominus (S - \bar{z}I) = \text{Ker}(S^* - zI), \quad \text{Im } z \neq 0$$

be the defect subspace of  $S$ . The numbers  $n_{\pm} = \dim \mathfrak{N}_{\pm i}$  are called the defect numbers of  $S$ . By well-known J. von Neumann's formula the direct decomposition

$$\text{Dom}(S^*) = \text{Dom}(S) \dot{+} \mathfrak{N}_z \dot{+} \mathfrak{N}_{\bar{z}}, \quad \text{Im } z \neq 0$$

holds. We consider the domain  $\text{Dom}(S^*)$  of the adjoint  $S^*$  to  $S$  as the Hilbert  $\mathfrak{H}_+$  space with the inner product

$$(u, v)_+ = (u, v) + (S^*u, S^*v). \quad (2.15)$$

Then holds  $(+)$ -orthogonal decomposition:

$$\mathfrak{H}_+ = \text{Dom}(S) \oplus \mathfrak{N}_i \oplus \mathfrak{N}_{-i}.$$

Extensions  $T$  of  $S$  possessing property

$$S \subset T \subset S^*$$

are called quasi-self-adjoint (proper, intermediate) extensions of  $S$ .

Let

$$\mathfrak{L} := \mathfrak{N}_i \oplus \mathfrak{N}_{-i}.$$

Then

$$S^{*2}f = -f, (S^*f, S^*g)_+ = (f, g)_+, f, g \in \mathfrak{L}. \quad (2.16)$$

The next statement is well-known.

**Theorem 2.4** *The formulas*

$$\text{Dom}(T) = \text{Dom}(S) \oplus \mathfrak{K}, T = S^* \upharpoonright \text{Dom}(T) \quad (2.17)$$

give a one-to-one correspondence between subspaces  $\mathfrak{K} \subset \mathfrak{N}_i \oplus \mathfrak{N}_{-i}$  and quasi-self-adjoint extensions  $T$  of  $S$ . The adjoint operator  $T^*$  is given by

$$\text{Dom}(T^*) = \text{Dom}(S) \oplus S^*\mathfrak{K}^\perp, T^* = S^* \upharpoonright \text{Dom}(T^*), \quad (2.18)$$

where  $\mathfrak{K}^\perp := \mathfrak{L} \ominus \mathfrak{K}$  is  $(+)$ -orthogonal complement to  $\mathfrak{K}$  in  $\mathfrak{L}$ .

In particular, a maximal dissipative (anti-dissipative) extension  $T$  of  $S$  is quasi-self-adjoint and

$$\begin{aligned} \text{Dom}(T) &= \text{Dom}(S) \oplus (I - M)\mathfrak{N}_i & (\text{Dom}(T) &= \text{Dom}(S) \oplus (I - M)\mathfrak{N}_{-i}), \\ \text{Dom}(T^*) &= \text{Dom}(S) \oplus (I - M^*)\mathfrak{N}_{-i} & (\text{Dom}(T^*) &= \text{Dom}(S) \oplus (I - M^*)\mathfrak{N}_i), \end{aligned}$$

where  $M \in \mathbf{L}(\mathfrak{N}_i, \mathfrak{N}_{-i})$  ( $\mathbf{L}(\mathfrak{N}_{-i}, \mathfrak{N}_i)$ ) is a contraction in  $\mathfrak{H}$  ( $\mathfrak{H}_+$ ) and  $M^* \in \mathbf{L}(\mathfrak{N}_{-i}, \mathfrak{N}_i)$  ( $\mathbf{L}(\mathfrak{N}_i, \mathfrak{N}_{-i})$ ) denotes its  $(+)$ -adjoint.

According to J. von Neumann the operator  $S$  has self-adjoint extensions in  $\mathfrak{H}$  if and only if defect numbers of  $S$  are equal. In this case the domain of any self-adjoint extension  $A$  of  $S$  takes the form

$$\text{Dom}(A) = \text{Dom}(S) \oplus (I - V)\mathfrak{N}_i,$$

where  $V$  is an isometric operator in  $\mathfrak{H}$  ( $\mathfrak{H}_+$ ) from  $\mathfrak{N}_i$  onto  $\mathfrak{N}_{-i}$ . Fix a self-adjoint extension  $A$  of  $S$  and put

$$\mathfrak{N}_A = (I - V)\mathfrak{N}_i, \quad \mathfrak{M}_A = (I + V)\mathfrak{N}_i,$$

where  $V$  is the corresponding isometry from  $\mathfrak{N}_i$  onto  $\mathfrak{N}_{-i}$ . Then the following relations hold:

$$\begin{aligned} \mathfrak{M}_A &= A\mathfrak{N}_A, \quad (A + iI)\mathfrak{N}_A = \mathfrak{N}_i, \quad (A - iI)\mathfrak{N}_A = \mathfrak{N}_{-i}, \\ \mathfrak{N}_A &= \{f \in \text{Dom}(A) : S^*Af = -f\}, \\ \mathfrak{M}_A &= \{f \in \text{Dom}(S^*) : AS^*f = -f\}, \\ \text{Dom}(A) &= \text{Dom}(S) \oplus \mathfrak{N}_A, \\ \mathfrak{H}_+ &= \text{Dom}(S) \oplus \mathfrak{N}_A \oplus \mathfrak{M}_A. \end{aligned} \quad (2.19)$$

A quasi-self-adjoint extension  $T$  is called relatively prime (or disjoint) with  $A$  if

$$\text{Dom}(T) \cap \text{Dom}(A) = \text{Dom}(S)$$

and transversal to  $A$  if

$$\text{Dom}(T) + \text{Dom}(A) = \text{Dom}(S^*).$$

The part of following Proposition related to self-adjoint extensions is proved in [15].

**Proposition 2.5** *The formulas*

$$\begin{aligned} \text{Dom}(T) &= \text{Dom}(S) \oplus (A + C)\text{Dom}(C), \\ T(f_0 + (A + C)h) &= A(f_0 + Ch) - h, \quad f_0 \in \text{Dom}(S), h \in \text{Dom}(C) \end{aligned} \quad (2.20)$$

give a one-to-one correspondence between quasi-self-adjoint extensions  $T$  of  $S$  relatively prime with  $A$  and closed operators  $C$  in  $\mathfrak{N}_A$ .

*The extension  $T$  is transversal to  $A$  if and only if  $\text{Dom}(C) = \mathfrak{N}_A$ .*

*The extension  $T$  is self-adjoint if and only if  $C$  is self-adjoint operator in  $\mathfrak{N}_A$ .*

*The extension  $T$  is maximal dissipative if and only if  $C$  is maximal dissipative operator in  $\mathfrak{N}_A$ .*

*Proof* The closed operator  $C : \mathfrak{N}_A \rightarrow \mathfrak{N}_A$  can be represented as

$$C(I - V)f_i = (I - V)Uf_i, \quad f_i \in \text{Dom}(U) \subset \mathfrak{N}_i,$$

where  $U$  is a closed operator. Then

$$\begin{aligned} (A + C)(I - V)f_i &= i(I + V)f_i + (I - V)Uf_i \\ &= (iI + U)f_i + V(iI - U)f_i, \quad f_i \in \mathfrak{N}_i. \end{aligned}$$

It follows that  $T$  is maximal dissipative if and only if the operator  $M := (U - iI)(iI + U)^{-1}$  is well defined on whole  $\mathfrak{N}_i$  and is a contraction or equivalently, the operator  $U$  is maximal dissipative in  $\mathfrak{N}_i$ . The last is equivalent to  $U$  is the maximal dissipative operator in  $\mathfrak{N}_A \subset \mathfrak{H}_+$ .  $\square$

**Proposition 2.6** *Let  $U$  be a  $(+)$ -closed and densely defined operator in  $\mathfrak{N}_A$ . Then the operator  $T$  given by*

$$\begin{aligned} \text{Dom}(T) &= \text{Dom}(S) \oplus (I + AU)\text{Dom}(U), \\ T(f_0 + (I + AU)h) &= A(f_0 + h) - Uh, \quad f_0 \in \text{Dom}(S), \quad h \in \text{Dom}(U) \end{aligned} \quad (2.21)$$

is a quasi-self-adjoint extension of  $S$ . Its adjoint  $T^*$  is of the form

$$\begin{aligned} \text{Dom}(T^*) &= \text{Dom}(S) \oplus (I + AU^*)\text{Dom}(U^*), \\ T^*(f_0 + (I + AU^*)e) &= A(f_0 + e) - U^*e, \\ f_0 &\in \text{Dom}(S), \quad e \in \text{Dom}(U^*), \end{aligned} \quad (2.22)$$

where  $U^*$  is  $(+)$ -adjoint of  $U$  in  $\mathfrak{N}_A$ . In this case the extension  $T$  is relatively prime with  $A$  if and only if  $\text{Ker } U = \{0\}$  and is transversal to  $A$  if and only if the number 0 is the regular number of  $U$ , i.e.  $\text{Ker } U = \{0\}$  and  $\text{Ran } (U) = \mathfrak{N}_A$ .

*Proof* Let us find the orthogonal complement  $\mathfrak{L} \ominus (I + AU)\text{Dom}(U)$ . Let  $\varphi \in \mathfrak{L}$ . Then

$$\varphi = \varphi_1 + \varphi_2, \quad \varphi_1 \in \mathfrak{N}_A, \quad \varphi_2 \in \mathfrak{M}_A = S^*\mathfrak{N}_A.$$

Then for all  $f \in \text{Dom}(U)$ , using (2.16), we have

$$((I + AU)f, \varphi)_+ = (f, \varphi_1)_+ + (AUf, \varphi_2)_+ = (f, \varphi_1)_+ - (Uf, S^*\varphi_2)_+.$$

Put  $h = S^*\varphi_2$  then  $h \in \mathfrak{N}_A$  and  $\varphi_2 = -S^*h = -Ah$ . Then

$$((I + AU)f, \varphi)_+ = 0 \quad \text{for all } f \in \text{Dom}(U) \iff h \in \text{Dom}(U^*) \quad \text{and} \quad \varphi_1 = U^*h.$$

Thus

$$\begin{aligned} \mathfrak{L} \ominus (I + AU)\text{Dom}(U) &= (U^* - A)\text{Dom}(U^*), \\ S^*(\mathfrak{L} \ominus (I + AU)\text{Dom}(U)) &= (I + AU^*)\text{Dom}(U^*). \end{aligned}$$

Now relations (2.22) follow from (2.4).  $\square$

## 2.7 Nonnegative Self-Adjoint Extensions of a Nonnegative Symmetric Operator

Let  $S$  be a nonnegative symmetric operator. Then the defect numbers of  $S$  are equal and therefore  $S$  admits self-adjoint extensions.

Recall the definition of the Friedrichs extension of  $S$  [35]. Let  $S[\cdot, \cdot]$  the closure of the sesquilinear form  $(Sf, g)$ ,  $f, g \in \text{Dom}(S)$ . According to First Representation Theorem there exists a nonnegative self-adjoint operator  $S_F$  associated with  $S[\cdot, \cdot]$ , i.e.

$$(S_F u, v) = S[u, v], \quad v \in \mathcal{D}[S], \quad u \in \text{Dom}(S_F).$$

The operator  $S_F$  is a self-adjoint extension of  $S$  and is called the Friedrichs extension of  $S$ . Note that

$$\text{Dom}(S_F) = \mathcal{D}[S] \cap \text{Dom}(S^*)$$

and according to Second Representation Theorem the equalities

$$\mathcal{D}[S] = \mathcal{D}[S_F] = \text{Dom}(S_F^{1/2}), \quad S[\varphi, \psi] = (S_F^{1/2} \varphi, S_F^{1/2} \psi), \quad \varphi, \psi \in \text{Dom}(S_F^{1/2})$$

hold.

Kreĭn [37] established that any nonnegative, densely defined symmetric operator  $S$  admits, so called, minimal nonnegative self-adjoint extension. This extension is called the Kreĭn–von Neumann extension  $S_K$ . The operator  $S_K$  can be defined as follows [2, 20]:  $S_K = ((S^{-1})_F)^{-1}$ , where  $S^{-1}$  denotes in this context the inverse nonnegative linear relation to the graph  $S$ . It was proved in [2] that

$$\begin{aligned} \mathcal{D}[S_K] = \text{Dom}(S_K^{1/2}) &= \left\{ u \in H : \sup_{f \in \text{Dom}(S)} \frac{|(u, Sf)|^2}{(Sf, f)} < \infty \right\}, \\ \sup_{f \in \text{Dom}(S)} \frac{|(u, Sf)|^2}{(Sf, f)} &= \|S_K^{1/2} u\|^2 = S_K[u, u], \quad u \in \mathcal{D}[S_K]. \end{aligned} \quad (2.23)$$

Kreĭn proved that  $\tilde{S}$  is a nonnegative self-adjoint extension of  $S$  if and only if

$$S_K \leq \tilde{S} \leq S_F$$

in sense of the associated closed quadratic forms, i.e.,

$$\begin{aligned} \mathcal{D}[S_F] &\subseteq \mathcal{D}[\tilde{S}] \subseteq \mathcal{D}[S_K], \\ S_F[v] &= \tilde{S}[v], \quad v \in \mathcal{D}[S_F], \quad \tilde{S}[u] \geq S_K[u], \quad u \in \mathcal{D}[\tilde{S}]. \end{aligned}$$

Nonnegative self-adjoint extension  $\tilde{S}$  of  $S$  is called *extremal* [4] if

$$\inf \{ (\tilde{S}(u - x), u - x), \quad x \in \text{Dom}(S) \} = 0 \quad \text{for all } u \in \text{Dom}(\tilde{S}).$$



The Friedrichs and Kreĭn–von Neumann extensions are extremal. The next theorem is established in [7].

**Theorem 2.7** *If  $\tilde{S}$  is a nonnegative self-adjoint extension of a nonnegative symmetric operator  $S$ , then the form*

$$(\tilde{S}u, v) - S_K[u, v], \quad u, v \in \text{Dom}(\tilde{S})$$

*is nonnegative and closable in the Hilbert space  $\mathcal{D}[S_K]$ . Moreover, the formulas*

$$\begin{aligned} \mathcal{D}[\tilde{S}] &= \text{Dom}(\eta), \\ \tilde{S}[u, v] &= S_K[u, v] + \eta[u, v], \quad u, v \in \mathcal{D}[\tilde{S}] \end{aligned} \quad (2.24)$$

*give a one-to-one correspondence between all closed forms  $\tilde{S}[\cdot, \cdot]$  associated with nonnegative self-adjoint extensions  $\tilde{S}$  of  $S$  and all nonnegative sesquilinear forms  $\eta[\cdot, \cdot]$  closed in the Hilbert space  $\mathcal{D}[S_K]$  and such that  $\eta[\varphi] = 0$  for all  $\varphi \in \mathcal{D}[S]$ . In addition, the closed form associated with extremal extensions are closed restrictions of the form  $S_K[\cdot, \cdot]$  on the linear manifolds  $\mathcal{M}$  such that*

$$\mathcal{D}[S] \subseteq \mathcal{M} \subseteq \mathcal{D}[S_K].$$

Notice that investigations of all extremal extensions in more detail and their applications are presented in the paper [9].

Now we describe an approach proposed in [15–17] for parametrization of nonnegative self-adjoint extensions. Let  $\mathfrak{N}_F$  be (+)-orthogonal complement of  $\text{Dom}(S)$  in  $\text{Dom}(S_F)$ , i.e.,

$$\text{Dom}(S_F) = \text{Dom}(S) \oplus \mathfrak{N}_F.$$

Put  $\mathfrak{M}_F = S_F \mathfrak{N}_F$ . Then (see (2.19))

$$\mathfrak{H}_+ = \text{Dom}(S) \oplus \mathfrak{N}_F \oplus \mathfrak{M}_F = \text{Dom}(S_F) \oplus S_F \mathfrak{N}_F,$$

where decomposition is (+)-orthogonal decomposition. In addition

$$S^* S_F e = -e, \quad e \in \mathfrak{N}_F.$$

Let

$$\mathfrak{N}_0 = \text{Ran}(S_F^{1/2}) \cap \mathfrak{N}_F. \quad (2.25)$$

Then  $S$  has a unique nonnegative self-adjoint extension if and only if  $\mathfrak{N}_0 = \{0\}$  [15–17, 37]. Suppose that  $\mathfrak{N}_0 \neq \{0\}$  and define the sesquilinear form on  $\mathfrak{N}_0$

$$w_0[e, g] = (S_F^{1/2} e, S_F^{1/2} g) + (\widehat{S}_F^{-1/2} e, \widehat{S}_F^{-1/2} g) = (\widehat{S}_F^{-1/2} e, \widehat{S}_F^{-1/2} g)_+, \quad (2.26)$$

where  $\widehat{S}_F^{-1/2}$  denotes the Moore–Penrose inverse to  $S_F^{1/2}$ . This form is closed in  $\mathfrak{H}_+$  and  $w_0[e] \geq 2\|e\|^2$  for all  $e \in \mathfrak{N}_0$ . Let  $\mathbf{W}_0$  be a (+)-nonnegative self-adjoint linear relation in  $\mathfrak{N}_F$  associated with the closed form  $w_0$ . In view of  $w_0[e] > 0$  for all  $e \neq 0 \in \mathfrak{N}_0$ , the inverse l.r.  $\mathbf{W}_0^{-1}$  is densely defined in  $\mathfrak{N}_F$  and therefore is the graph of a (+)-self-adjoint nonnegative operator. We denote this operator by  $W_0^{-1}$ . Clearly,  $\text{Ker } W_0^{-1} = \mathbf{W}(0) = \mathfrak{N}_F \ominus \mathfrak{N}_0$  (the (+)-orthogonal complement).

**Theorem 2.8** *The formulas*

$$\begin{aligned} \text{Dom } (\widetilde{S}) &= \text{Dom } (S) \oplus (I + S_F \widetilde{U}) \text{Dom } (\widetilde{U}), \\ \widetilde{S}(\varphi + h + S_F \widetilde{U}h) &= S_F(\varphi + h) - \widetilde{U}h, \quad \varphi \in \text{Dom } (S), \quad h \in \text{Dom } (\widetilde{U}), \\ \text{Dom } (\widetilde{S}^{1/2}) &= \text{Dom } (S_F^{1/2}) \dot{+} S_F \text{Ran } (\widetilde{U}^{1/2}), \\ \|\widetilde{S}^{1/2}(f + S_F h)\|^2 &= \|S_F^{1/2}f - \widehat{S}_F^{-1/2}h\|^2 + \widetilde{U}^{-1}[h] - w_0[h], \\ f &\in \text{Dom } (S_F^{1/2}), \quad h \in \text{Ran } (\widetilde{U}^{1/2}) \end{aligned} \quad (2.27)$$

give a one-to-one correspondence between all non-negative self-adjoint extensions  $\widetilde{S}$  of  $S$  and their square roots and all (+)-self-adjoint operators  $\widetilde{U}$  in  $\mathfrak{N}_F$  satisfying the condition

$$0 \leq \widetilde{U} \leq W_0^{-1}. \quad (2.28)$$

An extension  $\widetilde{S}$  coincides with the Kreĭn–von Neumann extensions  $S_K$  iff  $\widetilde{U} = W_0^{-1}$ .

The extension  $\widetilde{S}$  given by (2.27) is relatively prime with  $S_F$  if and only if the operator  $\widetilde{U}$  is invertible and transversal to  $S_F$  iff  $\widetilde{U}^{-1}$  is bounded.

Observe, that the condition (2.28) is equivalent to one of the following [12, 14]:

$$\begin{aligned} \text{Ran } (\widetilde{U}) &\subset \mathfrak{N}_0 \quad \text{and} \quad (\widetilde{U}f, f)_+ \geq w_0[\widetilde{U}f] \quad \text{for all } f \in \text{Dom } (\widetilde{U}), \\ \text{Ran } (\widetilde{U}) &\subset \mathfrak{N}_0 \quad \text{and} \quad \left( (\widetilde{U}P_{\widetilde{U}})^{-1}e, e \right)_+ \geq w_0[e] \quad \text{for all } e \in \text{Ran } (\widetilde{U}), \end{aligned}$$

where  $P_{\widetilde{U}}$  is the (+)-orthogonal projection in  $\mathfrak{N}_F$  onto  $\overline{\text{Ran}} (\widetilde{U})$ .

From Theorem 2.8 it follows that

$$\begin{aligned} \text{Dom } (S_K^{1/2}) &= \text{Dom } (S_F^{1/2}) \oplus S_F \mathfrak{N}_0, \\ \|S_K^{1/2}(f + S_F e)\|^2 &= \|S_F^{1/2}f - \widehat{S}_F^{-1/2}e\|^2, \quad f \in \text{Dom } (S_F^{1/2}), \quad e \in \mathfrak{N}_0. \end{aligned} \quad (2.29)$$

In addition from (2.29) it follows the relation

$$\inf \left\{ \|S_K^{1/2}(g - \psi)\|^2, \quad \psi \in \text{Dom } (S) \right\} = 0 \quad \text{for all } g \in \text{Dom } (S_K^{1/2}). \quad (2.30)$$

## 2.8 Quasi-Self-Adjoint $m$ -Accretive and $m$ -Sectorial Extensions of Nonnegative Symmetric Operators via Fractional–Linear Transformations

Let  $A$  be a nondensely defined Hermitian contraction in the Hilbert space  $\mathfrak{H}$  with the domain  $\text{Dom}(A) =: \mathfrak{H}_0$  and let  $\mathcal{N} := \mathfrak{H} \ominus \text{Dom}(A)$ . Let  $P_0$  and  $P_{\mathcal{N}}$  be the orthogonal projections in  $\mathfrak{H}$  onto  $\mathfrak{H}_0$  and  $\mathcal{N}$ , respectively. Then the operator  $A_0 = P_0 A$  is contractive and self-adjoint in the subspace  $\mathfrak{H}_0$ . Let  $D_{A_0} = (I - A_0^2)^{1/2}$  be the defect operator determined by  $A_0$ . The operator  $A_{21} = P_{\mathcal{N}} A$  is also contractive. Moreover, it follows from  $A^* A \leq I$  that  $A_{21}^* A_{21} \leq D_{A_0}^2$ . Therefore, the identity

$$K_0 D_{A_0} f = P_{\mathcal{N}} A f, \quad f \in \text{Dom}(A),$$

defines a contractive operator  $K_0$  from  $\mathfrak{D}_{A_0} := \overline{\text{Ran}}(D_{A_0})$  into  $\mathcal{N}$ , cf. [27,30]. This gives the following decomposition for the Hermitian contraction  $A$

$$A = A_0 + K_0 D_{A_0} = \begin{pmatrix} A_0 \\ K_0 D_{A_0} \end{pmatrix}. \quad (2.31)$$

Let the Hermitian contraction  $A$  in  $\mathfrak{H}$  be defined on the subspace  $\mathfrak{H}_0 = \text{Dom}(A)$ . A linear operator  $T$  is called quasi-self-adjoint contractive extension of  $A$  ( $qsc$ -extension of  $A$ ) [11,12,14] if

$$\text{Dom}(T) = \mathfrak{H}, \quad T \supset A, \quad T^* \supset A, \quad \|T\| \leq 1.$$

It was established by Kreĭn [37] that the set of all contractive extensions of  $A$  forms an operator interval  $[A_\mu, A_M]$ , where the endpoints possess the properties

$$\begin{aligned} \inf \{((I + A_\mu)(h - \phi), h - \phi), \phi \in \mathfrak{H}_0\} &= 0, \\ \inf \{((I - A_M)(h - \phi), h - \phi), \phi \in \mathfrak{H}_0\} &= 0 \end{aligned}$$

for all  $h \in \mathfrak{H}$ . These equalities are equivalent to [37]

$$\text{Ran}((I + A_\mu)^{1/2}) \cap \mathcal{N} = \{0\}, \quad \text{Ran}((I - A_M)^{1/2}) \cap \mathcal{N} = \{0\}. \quad (2.32)$$

Moreover, it is proved in [37] that if  $S$  is a densely defined closed symmetric and nonnegative operator in  $H$  and if  $A = (I - S)(I + S)^{-1}$ , then

$$S_F = (I - A_\mu)(I + A_\mu)^{-1},$$

and the operator

$$S_M = (I - A_M)(I + A_M)^{-1}$$

is the minimal nonnegative self-adjoint extension of  $S$ . Thus  $S_M$  coincides with the Kreĭn–von-Neumann extension  $S_K$  of  $S$ .

In [11, 12] it is established that the set of all  $qsc$ -extensions of  $A$  forms the operator ball

$$\mathfrak{B}\left(\frac{A_\mu + A_M}{2}, \frac{A_M - A_\mu}{2}\right)$$

with the center  $(A_\mu + A_M)/2$  and equal left and right radii  $R_l = R_r = (A_M - A_\mu)^{1/2}/\sqrt{2}$ , i.e., there is a one-to-one correspondence between all  $qsc$ -extensions  $T$  of  $A$  and all contractions  $X$  in  $\mathcal{N}_0 := \overline{\text{Ran}}(A_M - A_\mu)$  given by the relation

$$T = \frac{A_\mu + A_M}{2} + \left(\frac{A_M - A_\mu}{2}\right)^{1/2} X \left(\frac{A_M - A_\mu}{2}\right)^{1/2}. \quad (2.33)$$

As is shown in [13] the  $qsc$ -extension  $T$  belongs to the class  $C_{\mathfrak{H}}(\alpha)$  if and only if the contraction  $X$  in (2.33) belong to the class  $C_{\mathcal{N}_0}(\alpha)$ .

Decompose  $A$  according to  $\mathfrak{H} = \mathfrak{H}_0 \oplus \mathcal{N}$  as in (2.31). Let  $T$  be a  $qsc$ -extension of  $A$  and decompose  $T = (T_{ij})$  also with respect to  $\mathfrak{H} = \mathfrak{H}_0 \oplus \mathcal{N}$ . Then clearly  $T_{11} = A_0$ ,  $T_{12}^* = T_{21} = K_0 D_{A_0}$ . The next result gives a parametrization of all  $qsc$ -extensions of  $A$  and some of its subclasses by means of block formulas, cf. [19, 22, 49], and [11, 14].

**Theorem 2.9** *Let  $A$  be a Hermitian contraction in  $\mathfrak{H} = \mathfrak{H}_0 \oplus \mathcal{N}$  with  $\text{Dom}(A) = \mathfrak{H}_0$  and decompose  $A$  as in (2.31). Then:*

(i) *the formula*

$$T = \begin{pmatrix} A_0 & D_{A_0} K_0^* \\ K_0 D_{A_0} & -K_0 A_0 K_0^* + D_{K_0^*} X D_{K_0^*} \end{pmatrix} : \begin{matrix} \mathfrak{H}_0 \\ \mathcal{N} \end{matrix} \oplus \rightarrow \begin{matrix} \mathfrak{H}_0 \\ \mathcal{N} \end{matrix} \oplus \quad (2.34)$$

*gives a one-to-one correspondence between all  $qsc$ -extensions  $T$  of the Hermitian contraction  $A = A_0 + K_0 D_{A_0}$  and all contractions  $X$  in the subspace  $\mathfrak{D}_{K_0^*} := \overline{\text{Ran}}(D_{K_0^*}) \subset \mathcal{N}$ ;*

- (ii)  *$T$  in (2.34) belongs to the class  $C_{\mathfrak{H}}(\alpha)$  if and only if  $X$  belongs to the class  $C_{\mathfrak{D}_{K_0^*}}(\alpha)$ ,  $\alpha \in ]0, \pi/2[$ ;*
- (iii)  *$T$  is a self-adjoint extension of  $A$  if and only if  $X$  in (2.34) is a self-adjoint contraction in  $\mathfrak{D}_{K_0^*}$ .*

From (2.34) it follows that

$$\begin{aligned} A_\mu &= \begin{pmatrix} A_0 & D_{A_0} K_0^* \\ K_0 D_{A_0} & -K_0 A_0 K_0^* - D_{K_0^*}^2 \end{pmatrix}, \\ A_M &= \begin{pmatrix} A_0 & D_{A_0} K_0^* \\ K_0 D_{A_0} & -K_0 A_0 K_0^* + D_{K_0^*}^2 \end{pmatrix} \end{aligned} \quad (2.35)$$

with  $X = -I \upharpoonright \mathfrak{D}_{K_0^*}$  and  $X = I \upharpoonright \mathfrak{D}_{K_0^*}$ , respectively. From the formulas (2.35) it is seen that

$$\frac{A_\mu + A_M}{2} = \begin{pmatrix} A_0 & D_{A_0} K_0^* \\ K_0 D_{A_0} & -K_0 A_0 K_0^* \end{pmatrix}, \quad \frac{A_M - A_\mu}{2} = \begin{pmatrix} 0 & 0 \\ 0 & D_{K_0^*}^2 \end{pmatrix}.$$

It is easy to see from (2.34) and (2.35) that if  $T$  is a  $qsc$ -extension of  $A$  such that  $T_R = (T + T^*)/2 = A_\mu(A_M)$ , then in fact  $T = A_\mu(A_M)$ . Namely,  $X = X_R + iX_I$  satisfies

$$\begin{cases} 0 \leq X^*X = X_R^2 + i(X_R X_I - X_I X_R) + X_I^2 \leq I, \\ 0 \leq XX^* = X_R^2 - i(X_R X_I - X_I X_R) + X_I^2 \leq I, \end{cases} \quad (2.36)$$

so that  $0 \leq X_R^2 + X_I^2 \leq I$  and here clearly  $X_R^2 = I$  implies  $X_I = 0$ .

*Remark 2.10* Block formulas for describing all contractive extensions of a dual pair, in particular  $qsc$ -extensions of a Hermitian contraction, appear in [19, 22, 49].

### 3 Parametrization of all Quasi-Self-Adjoint $m$ -Accretive Extensions

In this section we develop a method described in Sect. 2.7 (see Theorem 2.8) to the problem of  $m$ -accretive quasi-self-adjoint extensions. We need the followings results established in [6].

**Theorem 3.1** *Let  $S$  be a nonnegative symmetric operator and let  $\tilde{S}$  be a  $m$ -accretive extension of  $S$ . The following conditions are equivalent:*

- (i)  $\tilde{S} \subset S^*$ ;
- (ii)  $\text{Dom}(\tilde{S}) \subset \mathcal{D}[S_K]$  and

$$\text{Re}(\tilde{S}f, f) \geq S_K[f] = \|S_K^{1/2}f\|^2 \quad \text{for all } f \in \text{Dom}(\tilde{S});$$

(iii)

$$|(Sg, f)|^2 \leq (Sg, g) \text{Re}(\tilde{S}f, f) \quad \text{for all } f \in \text{Dom}(\tilde{S}), \quad g \in \text{Dom}(S).$$

*The extension  $\tilde{S}$  is quasi-self-adjoint and  $m$ - $\alpha$ -sectorial if and only if the sesquilinear form*

$$w[f, h] = (\tilde{S}f, h) - S_K[f, h], \quad f, h \in \text{Dom}(\tilde{S}) \quad (3.1)$$

*is  $\alpha$ -sectorial.*

*Proof* If  $\tilde{S}$  is an accretive extension of  $S$  then for all  $g \in \text{Dom}(S)$ , for all  $f \in \text{Dom}(\tilde{S})$ , and for all  $t \in \mathbb{R}$  it follows

$$0 \leq \text{Re}(\tilde{S}(tg + f), tg + f) = t^2(Sg, g) + t(\text{Re}(Sg, f) + \text{Re}(\tilde{S}f, g)) + \text{Re}(\tilde{S}f, f).$$

If in addition  $\tilde{S} \subset S^*$ , then  $\text{Dom}(\tilde{S}) \subset \text{Dom}(S^*)$  and  $(Sg, f) = (g, \tilde{S}f)$ . Hence

$$t^2(Sg, g) + 2t\text{Re}(Sg, f) + \text{Re}(\tilde{S}f, f) \geq 0$$

for all  $t \in \mathbb{R}$ . Now we get

$$|\text{Re}(Sg, f)|^2 \leq (Sg, g)\text{Re}(\tilde{S}f, f)$$

and therefore

$$|(Sg, f)|^2 \leq (Sg, g)\text{Re}(\tilde{S}f, f)$$

for all  $g \in \text{Dom}(S)$  and all  $f \in \text{Dom}(\tilde{S})$ , i.e., (i)  $\Rightarrow$  (iii). The equivalence (iii)  $\Longleftrightarrow$  (ii) follows from (2.23).

Let us show that (iii) implies (i). Let  $A = (I - S)(I + S)^{-1}$  and  $\tilde{A} = (I - \tilde{S})(I + \tilde{S})^{-1}$ . Then  $A$  is Hermitian contraction defined on  $\text{Dom}(A) = (I + S)\text{Dom}(S)$  and  $\tilde{A}$  is contractive extension of  $A$  defined on  $\mathfrak{H}$ . Then the inequality in (iii) can be rewritten as follows

$$|((I - A)\varphi, (I + \tilde{A})h)|^2 \leq ((I - A)\varphi, (I + A)\varphi)\text{Re}((I - \tilde{A})h, (I + \tilde{A})h)$$

for all  $\varphi \in \text{Dom}(A)$  and all  $h \in \mathfrak{H}$ . Using the relation  $A\varphi = \tilde{A}\varphi$  and simplifying we obtain

$$|(D_{\tilde{A}}^2\varphi - 2i\tilde{A}_I\varphi, h)|^2 \leq \|D_{\tilde{A}}\varphi\|^2\|D_{\tilde{A}}h\|^2 \quad \text{for all } \varphi \in \text{Dom}(A) \quad \text{and all } h \in \mathfrak{H}.$$

From (2.2) we obtain that

$$D_{\tilde{A}}^2\varphi - 2i\tilde{A}_I\varphi \in \text{Ran}(D_{\tilde{A}}) \quad \text{for all } \varphi \in \text{Dom}(A)$$

and

$$\|\widehat{D}_{\tilde{A}}^{-1}(D_{\tilde{A}}^2\varphi - 2i\tilde{A}_I\varphi)\|^2 \leq \|D_{\tilde{A}}\varphi\|^2, \quad \varphi \in \text{Dom}(A).$$

Since  $D_{\tilde{A}}^2\varphi \in \text{Ran}(D_{\tilde{A}})$ , we get  $\tilde{A}_I\varphi \in \text{Ran}(\tilde{A})$  and

$$\|D_{\tilde{A}}\varphi - 2i\widehat{D}_{\tilde{A}}^{-1}\tilde{A}_I\varphi\|^2 \leq \|D_{\tilde{A}}\varphi\|^2, \quad \varphi \in \text{Dom}(A).$$

Hence

$$\|D_{\tilde{A}}\varphi\|^2 + 4\|\widehat{D}_{\tilde{A}}^{-1}\tilde{A}_I\varphi\|^2 \leq \|D_{\tilde{A}}\varphi\|^2.$$

It follows that  $\tilde{A}_I\varphi = 0$  for all  $\varphi \in \text{Dom}(A)$ . This means that  $\tilde{A}^* \supset A$ , i.e.,  $\tilde{A}$  is a *qsc*-extension of  $A$ . Therefore,  $\tilde{S}$  is a quasi-self-adjoint extension of  $S$ .

Suppose that the sesquilinear form  $\omega$  given by (3.1) is  $\alpha$ -sectorial. Then  $\operatorname{Re}(\tilde{S}f, f) \geq S_K[f]$  for all  $f \in \operatorname{Dom}(\tilde{S})$ . Therefore  $\tilde{S}$  is  $m$ -accretive and quasi-self-adjoint extension of  $S$ . On the other hand for all  $f \in \operatorname{Dom}(\tilde{S})$  we have

$$\tan \alpha \operatorname{Re}(\tilde{S}f, f) \pm \operatorname{Im}(\tilde{S}f, f) = \tan \alpha \operatorname{Re} \omega[f] \pm \operatorname{Im} \omega[f] + S_K[f] \geq 0.$$

Hence  $\tilde{S}$  is  $m$ - $\alpha$ -sectorial extension of  $S$ .

Conversely, let  $\tilde{S}$  is quasi-self-adjoint and  $m$ - $\alpha$ -sectorial extension of  $S$ . Hence  $\operatorname{Dom}(\tilde{S}) \subset \mathcal{D}[S_K]$ . Since for each  $\varphi \in \operatorname{Dom}(S) \subset \operatorname{Dom}(S_K)$  and all  $f \in \mathcal{D}[S_K]$  one has  $S_K[f, \varphi] = (f, S\varphi)$  and  $S_K[\varphi, f] = (S\varphi, f)$ , we get

$$\omega[f - \varphi] = \omega[f]$$

for all  $f \in \operatorname{Dom}(\tilde{S})$  and all  $\varphi \in \operatorname{Dom}(S)$ . Because

$$\inf_{\varphi \in \operatorname{Dom}(S)} S_K[f - \varphi] = 0$$

for all  $f \in \mathcal{D}[S_K]$ , for given  $f \in \mathcal{D}[S_K]$  and for every  $\varepsilon > 0$  one can find  $\varphi_0 \in \operatorname{Dom}(S)$  such that

$$S_K[f - \varphi_0] < \varepsilon.$$

It follows that

$$\begin{aligned} \tan \alpha \operatorname{Re} \omega[f] \pm \operatorname{Im} \omega[f] &= \tan \alpha \operatorname{Re} \omega[f - \varphi_0] \pm \operatorname{Im} \omega[f - \varphi_0] \\ &= \tan \alpha \operatorname{Re}(\tilde{S}(f - \varphi_0), f - \varphi_0) \\ &\quad \pm \operatorname{Im}(\tilde{S}(f - \varphi_0), f - \varphi_0) - S_K[f - \varphi_0] > -\varepsilon. \end{aligned}$$

Since  $\varepsilon$  is an arbitrary positive number, the form  $\omega$  is  $\alpha$ -sectorial.  $\square$

*Remark 3.2* In addition to the statements in Theorem 2.7 from results obtained in [7] follows that the relations

$$\mathcal{D}[\tilde{S}] = \operatorname{Dom}(\eta), \quad \tilde{S}[u, v] = S_K[u, v] + \eta[u, v]$$

establish a one-to-one correspondence between all closed forms associated with quasi-self-adjoint  $m$ - $\alpha$ -sectorial extensions of nonnegative  $S$  and all sesquilinear  $\alpha$ -sectorial forms  $\eta$  closed in the Hilbert space  $\mathcal{D}[S_K]$  and such that  $\eta[\varphi] = 0$  for all  $\varphi \in \mathcal{D}[S]$ .

**Corollary 3.3** [50] *If  $S_F = S_K$  and if  $\tilde{S}$  is  $m$ -accretive quasi-self-adjoint extension of  $S$ , then  $\tilde{S} = S_F$ .*

*Proof* Since  $S_F$  coincides with  $S_K$ , from Theorem 3.1 it follows that  $\operatorname{Dom}(\tilde{S}) \subset \mathcal{D}[S_F]$ . But  $\operatorname{Dom}(\tilde{S}) \subset \operatorname{Dom}(S^*)$  and  $\operatorname{Dom}(S^*) \cap \mathcal{D}[S_F] = \operatorname{Dom}(S_F)$ . Hence,  $\tilde{S} = S_F$ .  $\square$

Next theorem gives a parametrization of all quasi-self-adjoint  $m$ -accretive and  $m$ -sectorial extensions of  $S$ .

**Theorem 3.4** *The formulas*

$$\begin{aligned} \text{Dom}(\tilde{S}) &= \text{Dom}(S) \oplus (I + S_F \tilde{U}) \text{Dom}(\tilde{U}), \\ \tilde{S}(\varphi + h + S_F \tilde{U}h) &= S_F(\varphi + h) - \tilde{U}h, \quad \varphi \in \text{Dom}(S), \quad h \in \text{Dom}(\tilde{U}) \end{aligned} \quad (3.2)$$

give a one-to-one correspondence between all  $m$ -accretive quasi-self-adjoint extensions  $\tilde{S}$  of  $S$  and all  $(+)$ - $m$ -accretive operators  $\tilde{U}$  in  $\mathfrak{N}_F$  satisfying the condition

$$\text{Ran}(\tilde{U}) \subset \mathfrak{N}_0 \quad \text{and} \quad \text{Re}(\tilde{U}e, e)_+ \geq w_0[\tilde{U}e] \quad \text{for all } e \in \text{Dom}(\tilde{U}). \quad (3.3)$$

The extension  $\tilde{S}$  in (3.2) is  $m$ - $\alpha$ -sectorial if and only if

$$\begin{aligned} \tilde{U} \text{ in } \mathfrak{N}_F \text{ is } (+) - m\text{-accretive, } \text{Ran}(\tilde{U}) &\subset \mathfrak{N}_0, \\ \text{the sesquilinear form } \tau_{\tilde{U}}[e, h] &:= (\tilde{U}e, h)_+ - w_0[\tilde{U}e, \tilde{U}h], \quad e, h \in \text{Dom}(\tilde{U}), \\ \text{is } \alpha\text{-sectorial.} \end{aligned} \quad (3.4)$$

If this is the case, then associated with  $\tilde{S}$  closed form  $\tilde{S}[\cdot, \cdot]$  is given by

$$\begin{aligned} \mathcal{D}[\tilde{S}] &= \mathcal{D}[S] \dot{+} S_F \mathcal{D}[\tilde{U}^{-1}], \\ \tilde{S}[\varphi_1 + S_F h_1, \varphi_2 + S_F h_2] &= \left( S_F^{1/2} \varphi_1 - \widehat{S}_F^{-1/2} h_1, S_F^{1/2} \varphi_2 - \widehat{S}_F^{-1/2} h_2 \right) \\ &\quad + \tilde{U}^{-1}[h_1, h_2] - w_0[h_1, h_2], \\ \varphi_1, \varphi_2 &\in \mathcal{D}[S], \quad h_1, h_2 \in \mathcal{D}[\tilde{U}^{-1}]. \end{aligned} \quad (3.5)$$

The extension  $\tilde{S}$  in (3.2) is relatively prime with  $S_F$  iff the operator  $\tilde{U}$  is invertible,  $\tilde{S}$  is transversal to  $S_F$  iff  $\tilde{U}^{-1}$  is bounded.

*Proof* Suppose that  $\tilde{S}$  is accretive quasi-self-adjoint extension of  $S$ . Then  $\text{Dom}(\tilde{S}) \cap \mathfrak{M}_F = \{0\}$ . In fact if  $e \in \text{Dom}(\tilde{S}) \cap \mathfrak{M}_F$ , then  $e = S_F g$ ,  $g \in \mathfrak{N}_F$  and  $\tilde{S}e = S^* S_F g = -g$ ,  $\text{Re}(\tilde{S}e, e) = -(g, S_F g) \leq 0$ . Since  $S_F$  is nonnegative self-adjoint operator, it follows that  $e = S_F g = 0$ .

This implies that the domain  $\text{Dom}(\tilde{S})$  can be represented as follows

$$\text{Dom}(\tilde{S}) = \text{Dom}(S) \oplus (I + S_F \tilde{U}) \text{Dom}(\tilde{U}),$$

where  $\tilde{U}$  is a linear operator in  $\mathfrak{N}_F$  with some domain  $\text{Dom}(\tilde{U})$ .

Let us show that  $\tilde{U}$  is a  $(+)$ -accretive operator in  $\mathfrak{N}_F$ . Consider an arbitrary vector  $f \in \text{Dom}(\tilde{S})$  of the form  $f = h + S_F \tilde{U}h$ ,  $h \in \text{Dom}(\tilde{U})$ . Then  $\tilde{S}f = S^* f =$



$S_F h - \tilde{U} h$  and

$$\begin{aligned} (\tilde{S}f, f) &= (S_F h - \tilde{U} h, h + S_F \tilde{U} h) \\ &= (S_F h, h) - (\tilde{U} h, S_F \tilde{U} h) + (S_F h, S_F \tilde{U} h) - (\tilde{U} h, h) \\ &= (S_F h, h) - (\tilde{U} h, S_F \tilde{U} h) + (S_F h, S_F \tilde{U} h) + (h, \tilde{U} h) \\ &\quad - 2\operatorname{Re}(\tilde{U} h, h) \\ &= (S_F h, h) - (\tilde{U} h, S_F \tilde{U} h) + (h, \tilde{U} h)_+ - 2\operatorname{Re}(\tilde{U} h, h). \end{aligned}$$

Since  $\tilde{S}$  is an accretive quasi-self-adjoint extension of  $S$ , by Theorem 3.1 every vector  $f \in \operatorname{Dom}(\tilde{S})$  belongs to  $\operatorname{Dom}(S_K^{1/2})$  and the inequality  $\operatorname{Re}(\tilde{S}f, f) \geq \|S_K^{1/2} f\|^2$  holds. From (2.29) it follows that  $\operatorname{Ran}(\tilde{U}) \subset \mathfrak{N}_0$  and for  $f = h + S_F \tilde{U} h$ ,  $h \in \operatorname{Dom}(\tilde{U})$  holds

$$\|S_F^{1/2} h - \widehat{S}_F^{-1/2} \tilde{U} h\|^2 \leq (S_F h, h) - (\tilde{U} h, S_F \tilde{U} h) + \operatorname{Re}(h, \tilde{U} h)_+ - 2\operatorname{Re}(\tilde{U} h, h).$$

Since

$$\|S_F^{1/2} h - \widehat{S}_F^{-1/2} \tilde{U} h\|^2 = (S_F h, h) + \|S_F^{-1/2} \tilde{U} h\|^2 - 2\operatorname{Re}(\tilde{U} h, h),$$

we get

$$\|\widehat{S}_F^{-1/2} \tilde{U} h\|^2 + \|S_F^{1/2} \tilde{U} h\|^2 \leq \operatorname{Re}(h, \tilde{U} h)_+.$$

By (2.26) we get

$$w_0[\tilde{U} h] \leq \operatorname{Re}(\tilde{U} h, h)_+ \quad \text{for all } h \in \operatorname{Dom}(\tilde{U}). \quad (3.6)$$

This inequality yields that the operator  $\tilde{U}$  is  $(+)$ -accretive.

Suppose now that  $\tilde{S}$  is  $m$ -accretive operator. Then its adjoint  $\tilde{S}^*$  is also  $m$ -accretive and is a quasi-self-adjoint extension of  $S$ . In this case the operator  $\tilde{U}$  is  $(+)$ -closed and has dense domain. Indeed, if the vector  $e \in \mathfrak{N}_F$  is  $(+)$ -orthogonal to  $\operatorname{Dom}(\tilde{U})$ , i.e.,  $(e, h)_+ = 0$  for all  $h \in \operatorname{Dom}(\tilde{U})$  then by definition of the inner product  $(\cdot, \cdot)_+$  we have

$$(S_F e, S_F h) + (e, h) = 0, \quad h \in \operatorname{Dom}(\tilde{U}).$$

Using  $(+)$ -orthogonality  $S_F \mathfrak{N}_F$  to  $\operatorname{Dom}(S) \dot{+} \mathfrak{N}_F$ , one obtains that for every  $\varphi \in \operatorname{Dom}(S)$

$$(-e, \varphi + h + S_F \tilde{U} h) = (S_F e, S_F \varphi + S_F h - \tilde{U} h).$$

The latter means that the vector  $S_F e$  belongs to  $\operatorname{Dom}(\tilde{S}^*)$ . It is shown above that  $e = 0$ . Thus, if  $\tilde{S}$  is  $m$ -accretive quasi-self-adjoint extension of  $S$ , then the corresponding operator  $\tilde{U}$  is  $(+)$ -closed, densely defined in  $\mathfrak{N}_F$ ,  $(+)$ -accretive and satisfies

condition (3.6). Moreover, for the adjoint  $\tilde{S}^*$  holds the decomposition

$$\text{Dom}(\tilde{S}^*) = \text{Dom}(S) \oplus (I + S_F \tilde{U}^*) \text{Dom}(\tilde{U}^*),$$

where  $\tilde{U}^*$  is the (+)-adjoint to  $\tilde{U}$  in  $\mathfrak{N}_F$ . Since  $\tilde{S}^*$  is accretive, the operator  $\tilde{U}^*$  is (+)-accretive (and also satisfies the condition (3.6) with replacement  $\tilde{U}$  by  $\tilde{U}^*$ ). Because  $\tilde{U}$  and  $\tilde{U}^*$  are both (+)-accretive operators, the operator  $\tilde{U}$  (as well as  $\tilde{U}^*$ ) is (+)-m-accretive in the subspace  $\mathfrak{N}_F$  and satisfies (3.6).

Conversely, suppose that  $\tilde{U}$  in  $\mathfrak{N}_F$  satisfies (3.3). Let the operator  $\tilde{S}$  be given by (3.2). Then  $\tilde{S}$  is closed quasi-self-adjoint extension of  $S$  and one can verify that for the vector  $f = h + S_F \tilde{U} h$  the condition  $\text{Re}(\tilde{S}f, f) \geq \|S_K f\|^2$  holds. Therefore, from (2.23) it follows that

$$|(S\varphi, f)|^2 \leq (S\varphi, \varphi) \text{Re}(\tilde{S}f, f)$$

for all  $\varphi \in \text{Dom}(S)$ . The last inequality yields  $|(S\varphi, f)| \leq (S\varphi, \varphi) + \text{Re}(\tilde{S}f, f)$ . Hence,

$$\begin{aligned} \text{Re}(\tilde{S}(\varphi + f), \varphi + f) &= (S\varphi, \varphi) + \text{Re}(\tilde{S}f, f) + 2\text{Re}(\tilde{S}\varphi, f) \\ &\geq (S\varphi, \varphi) + \text{Re}(\tilde{S}f, f) - (S\varphi, \varphi) - \text{Re}(\tilde{S}f, f) = 0. \end{aligned}$$

Thus, the operator  $\tilde{S}$  is accretive. Consider the pair  $\langle S, \tilde{S} \rangle$ . Because  $(S\varphi, g) = (\varphi, \tilde{S}g)$  for all  $\varphi \in \text{Dom}(S)$  and all  $g \in \text{Dom}(\tilde{S})$  and  $\tilde{S}$  is closed accretive operator, there exists [48] a m-accretive operator  $\tilde{S}'$  such that  $\tilde{S}' \supset \tilde{S}$  and  $\tilde{S}'^* \supset S$ . Therefore,

$$S \subset \tilde{S} \subset \tilde{S}' \subset \tilde{S}'^*.$$

This means that  $\tilde{S}'$  is quasi-self-adjoint m-accretive extension of  $S$ . Since  $\tilde{S}'$  extends  $\tilde{S}$ , the corresponding operator  $\tilde{U}'$  in the representation

$$\text{Dom}(\tilde{S}') = \text{Dom}(S) \oplus (I + S_F \tilde{U}') \text{Dom}(\tilde{U}'),$$

is (+)-accretive extension in  $\mathfrak{N}_F$  of the operator  $\tilde{U}$ . Because  $\tilde{U}$  is m-accretive, we get the equality  $\tilde{U}' = \tilde{U}$  and therefore  $\tilde{S}' = \tilde{S}$ , i.e.  $\tilde{S}$  already is m-accretive extension of  $S$ .

Since null-spaces of a m-accretive operator and its adjoint coincide, the condition (3.6) is equivalent to the condition (3.3).

Now suppose that quasi-self-adjoint and m-accretive extension  $\tilde{S}$  of  $S$  is given by (3.2). Let  $\varphi \in \text{Dom}(S)$ ,  $h \in \text{Dom}(\tilde{U})$ , and let  $g = \varphi + h + S_F \tilde{U} h$ . Then taking into account that  $(\tilde{U}h, \varphi)_+ = 0$ , and therefore  $(\tilde{U}h, \varphi) = -(S_F \tilde{U}h, S_F \varphi)$ , we get

$$\begin{aligned} (\tilde{S}g, g) &= (S_F(\varphi + h) - \tilde{U}h, \varphi + h + S_F \tilde{U}h) = (S_F(\varphi + h), \varphi + h) \\ &\quad + (S_F(\varphi + h), S_F \tilde{U}h) - (\tilde{U}h, \varphi + h) - (\tilde{U}h, S_F \tilde{U}h) \\ &= (S_F(\varphi + h), \varphi + h) + 2\text{Re}(S_F \tilde{U}h, S_F \varphi) + (\tilde{U}h, S_F \tilde{U}h) - 2\text{Re}(\tilde{U}h, h) \\ &\quad + (h, \tilde{U}h)_+. \end{aligned}$$

From (2.29) it follows

$$\begin{aligned} \|S_K^{1/2}g\|^2 &= \|S_F^{1/2}(\varphi + h) - \widehat{S}_F^{-1/2}\widetilde{U}h\|^2 \\ &= (S_F(\varphi + h), \varphi + h) + \|\widehat{S}_F^{-1/2}\widetilde{U}h\|^2 - 2\operatorname{Re}(\varphi + h, \widetilde{U}h) \\ &= (S_F(\varphi + h), \varphi + h) + \|\widehat{S}_F^{-1/2}\widetilde{U}h\|^2 - 2\operatorname{Re}(h, \widetilde{U}h) + 2\operatorname{Re}(S_F\varphi, S_F\widetilde{U}h). \end{aligned}$$

Since  $\|S_F^{1/2}\widetilde{U}h\|^2 + \|\widehat{S}_F^{-1/2}\widetilde{U}h\|^2 = w_0[\widetilde{U}h]$ , we obtain

$$(\widetilde{S}g, g) - \|S_K^{1/2}g\|^2 = (h, \widetilde{U}h)_+ - w_0[\widetilde{U}h]. \quad (3.7)$$

According Theorem 3.1 the operator  $\widetilde{S}$  is  $\alpha$ -sectorial if and only if the quadratic form

$$(\widetilde{S}g, g) - \|S_K^{1/2}g\|^2, \quad g \in \operatorname{Dom}(\widetilde{S})$$

is  $\alpha$ -sectorial. Now from (3.7) it follows that the operator  $\widetilde{S}$  is  $\alpha$ -sectorial if and only if the form

$$\tau_{\widetilde{U}}[e, h] = (e, \widetilde{U}h)_+ - w_0[\widetilde{U}e, \widetilde{U}h], \quad e, h \in \operatorname{Dom}(\widetilde{U})$$

is  $\alpha$ -sectorial.

Observe that from conditions (3.4) it follows that the operator  $\widetilde{U}$  and the inverse linear relation  $\widetilde{U}^{-1}$  are  $\alpha$ -sectorial. Hence, the form  $(\widetilde{U}^{-1}e, h)_+$  has the closure  $\widetilde{U}^{-1}[\cdot, \cdot]$  in  $\mathfrak{N}_F$ . Moreover,  $\mathcal{D}[\widetilde{U}^{-1}] \subseteq \mathfrak{N}_0 = \operatorname{Dom}(w_0)$  and the sesquilinear form

$$v_{\widetilde{U}}[e, h] := \widetilde{U}^{-1}[e, h] - w_0[e, h], \quad e, h \in \mathcal{D}[\widetilde{U}^{-1}]$$

is  $\alpha$ -sectorial. Relations (3.5) can be proved in similar way as in [17]. We note that the form  $v_{\widetilde{U}}$  is closed in the Hilbert space  $\mathcal{D}[S_K]$ .  $\square$

**Proposition 3.5** *Suppose that the operator  $W_0^{-1}$  is  $(+)$ -bounded in  $\mathfrak{N}_F$ . Then*

1) *the formula*

$$\widetilde{U} = \frac{1}{2}W_0^{-1} + \frac{1}{2}W_0^{-1/2}\widetilde{Z}W_0^{-1/2} \quad (3.8)$$

*gives one-to-one correspondence between  $(+)$ - $m$ -accretive operators  $\widetilde{U}$  in  $\mathfrak{N}_F$ , satisfying the condition (3.3) and  $(+)$ -contractions  $\widetilde{Z}$  in  $\mathfrak{N}_0$ ,*

2) *the formula (3.8) gives one-to-one correspondence between operators  $\widetilde{U}$  in  $\mathfrak{N}_F$ , satisfying the condition (3.4) and operators  $\widetilde{Z}$  in  $\mathfrak{N}_0$ , such that  $\|\widetilde{Z} \sin \alpha \pm i \cos \alpha I\|_+ \leq 1$  ( $\iff \widetilde{Z} \in C_{\overline{\mathfrak{N}_0}}(\alpha)$ ).*

*Proof* Boundness of  $W_0^{-1}$  is equivalent to that the form  $w_0$  defined by (2.26) is bounded from below in  $\mathfrak{N}_F$ , i.e.  $w_0[e] = \|\widehat{S}_F^{-1/2}e\|_+^2 \geq c\|e\|_+^2$  for all  $e \in \mathfrak{N}_0$  with  $c > 0$ .

Because the condition (3.3) is equivalent to (3.6), we get for all  $h \in \text{Dom}(\tilde{U})$ :

$$\|\tilde{U}h\|_+ \|h\|_+ \geq \text{Re}(\tilde{U}h, h)_+ \geq w_0[\tilde{U}h] \geq c\|\tilde{U}h\|_+^2.$$

It follows that  $\tilde{U}$  is bounded in  $\mathfrak{N}_F$  (with  $\text{Ran}(\tilde{U}) \subset \mathfrak{N}_0$ ).

Let  $W_0$  be the operator part of the relation  $\mathbf{W}_0$ . Then  $W_0$  is (+)-nonnegative self-adjoint operator in  $\overline{\mathfrak{N}}_0$ ,  $\text{Ker } W_0^{-1} = \mathfrak{N}_F \ominus \mathfrak{N}_0$ , and  $W_0 = \left(W_0^{-1} \upharpoonright \overline{\mathfrak{N}}_0\right)^{-1}$ . Further we have for every  $h \in \mathfrak{N}_F$ :

$$\begin{aligned} w_0[\tilde{U}h] - \text{Re}(\tilde{U}h, h)_+ &= \|W_0^{1/2}\tilde{U}h\|_+^2 - \text{Re}(\tilde{U}h, h)_+ \\ &= \|W_0^{1/2}\tilde{U}h - \frac{1}{2}W_0^{-1/2}h\|_+^2 - \frac{1}{4}\|W_0^{-1/2}h\|_+^2. \end{aligned}$$

Therefore, (3.6) is equivalent to

$$\|W_0^{1/2}\tilde{U}h - \frac{1}{2}W_0^{-1/2}h\|_+^2 \leq \frac{1}{4}\|W_0^{-1/2}h\|_+^2, \quad h \in \mathfrak{N}_F. \quad (3.9)$$

This conditions is equivalent to the equality

$$W_0^{1/2}\tilde{U} - \frac{1}{2}W_0^{-1/2} = \frac{1}{2}\tilde{Z}W_0^{-1/2}.$$

with some (+)-contraction  $\tilde{Z}$  in  $\mathfrak{N}_0$ . The last is equivalent to

$$\tilde{U} = \frac{1}{2}W_0^{-1} + \frac{1}{2}W_0^{-1/2}\tilde{Z}W_0^{-1/2}.$$

One can verify that the condition

$$\tan \alpha (\text{Re}(\tilde{U}h, h)_+ - w_0[\tilde{U}h]) \geq |\text{Im}(\tilde{U}h, h)_+|$$

for all  $h \in \text{Dom}(\tilde{U})$  is equivalent to the following

$$\left\| W_0^{1/2}\tilde{U}h - \frac{1 \pm i \cot \alpha}{2} W_0^{-1/2}h \right\|_+^2 \leq \frac{1}{4 \sin^2 \alpha} \|W_0^{-1/2}h\|_+^2. \quad (3.10)$$

Because  $\tilde{U}$  satisfies (3.6), it has the representation (3.8) with (+)-contraction  $\tilde{Z}$ . Hence (3.10) is equivalent

$$\|(\tilde{Z} \sin \alpha \pm i \cos \alpha I)e\|_+^2 \leq \|e\|_+^2, \quad e \in \overline{\mathfrak{N}}_0.$$

□

Observe that if the defect numbers of  $S$  are finite, then the subspace  $\mathfrak{N}_F$  is finite-dimensional and because  $w_0[e] \geq 2||e||^2$  for all  $e \in \mathfrak{N}_0$ , the form  $w_0$  is (+)-positively definite in  $\mathfrak{N}_0$ . Therefore, the operator  $W_0^{-1}$  is (+)-bounded.

In general case of unbounded operator  $W_0^{-1}$  a description of all  $\tilde{U}$  satisfying (3.3) or (3.4) can be given by means of fractional-linear transformation of  $\tilde{U}$  and  $W_0$ .

Let  $\mathcal{W}_0$  be the linear fractional transformation of  $W_0$ , i.e.

$$\mathcal{W}_0(e + e') = e - e', \quad \text{where } \langle e, e' \rangle \in W_0.$$

Then  $\mathcal{W}_0$  is a (+)-contraction in  $\mathfrak{N}_F$  and moreover

$$\mathcal{W}_0 = (W_0^{-1} - I)(W_0^{-1} + I)^{-1}. \quad (3.11)$$

Now from Theorems 3.4, 2.1 and Corollary 2.2 we obtain the following result

**Theorem 3.6** *There is the one-to-one correspondence between quasi-self-adjoint  $m$ -accretive extensions  $\tilde{S}$  of a nonnegative symmetric operator  $S$  and (+)-contractions  $\tilde{\mathcal{Y}}$  in  $\mathfrak{N}_0$ . This correspondence is given by the formulas*

$$\begin{aligned} \text{Dom}(\tilde{S}) &= \text{Dom}(S) \oplus (I + S_F \tilde{U}) \text{Dom}(\tilde{U}), \\ \tilde{U} &= (I - \tilde{\mathcal{U}})(I + \tilde{\mathcal{U}})^{-1}, \\ \tilde{\mathcal{U}} &= I - \frac{1}{2}(I + \mathcal{W}_0)^{1/2}(I + \tilde{\mathcal{Y}})(I + \mathcal{W}_0)^{1/2}, \end{aligned} \quad (3.12)$$

where  $\mathcal{W}_0$  is given by (3.11). The extension  $\tilde{S}$  is quasi-self-adjoint and  $m$ - $\alpha$ -sectorial if and only if the operator  $\tilde{\mathcal{Y}}$  satisfies the condition

$$||\tilde{\mathcal{Y}} \sin \alpha \pm i \cos \alpha I||_+ \leq 1 \iff \mathcal{Y} \in C_{\mathfrak{N}_0}(\alpha).$$

### 3.1 Extremal $m$ -Accretive Quasi-Self-Adjoint Extensions

**Definition 3.7** [4, 8] Quasi-self-adjoint  $m$ -accretive extension  $\tilde{S}$  of a nonnegative symmetric operator  $S$  is called extremal if

$$\inf \{ \text{Re}(\tilde{S}(f - \psi), f - \psi), \psi \in \text{Dom}(S) \} = 0$$

for all  $f \in \text{Dom}(\tilde{S})$ .

By means of fractional linear transformation  $(I - \tilde{S})(I + \tilde{S})^{-1}$  the notion and characterization of quasi-self-adjoint extremal extensions were given in [14].

**Proposition 3.8** *The following conditions are equivalent:*

- (i) *the quasi-self-adjoint  $m$ -accretive extension  $\tilde{S}$  of a nonnegative symmetric operator  $S$  is extremal;*

- (ii) the maximal (+)-accretive operator  $\tilde{U}$  in  $\mathfrak{N}_F$  in the representation (3.2) satisfies the condition

$$\text{Ran}(\tilde{U}) \subset \mathfrak{N}_0 \quad \text{and} \quad \text{Re}(\tilde{U}h, h)_+ = w_0[\tilde{U}h], \quad h \in \text{Dom}(\tilde{U}); \quad (3.13)$$

- (iii) the maximal (+)-accretive operator  $\tilde{U}$  in  $\mathfrak{N}_F$  in the representation (3.2) satisfies the condition

$$\text{Ran}(\tilde{U}) \subset \mathfrak{N}_0 \quad \text{and} \quad \text{Re} \left( (\tilde{U}P_{\tilde{U}})^{-1}e, e \right)_+ = w_0[e] \quad \text{for all } e \in \text{Ran}(\tilde{U}), \quad (3.14)$$

where  $P_{\tilde{U}}$  is the (+)-orthogonal projection in  $\mathfrak{N}_F$  onto  $\overline{\text{Ran}(\tilde{U})}$ ;

- (iv) the operator  $\tilde{Y}$  in (3.12) is (+)-isometric in  $\overline{\mathfrak{N}}_0$ .

If the operator  $W_0^{-1}$  is (+)-bounded then  $\tilde{S}$  given by (3.2) is extremal if and only if the operator  $\tilde{U}$  is of the form (3.8) with (+)-isometric operator  $\tilde{Z}$  in  $\overline{\mathfrak{N}}_0$ .

*Proof* Let  $\tilde{S}$  be a quasi-self-adjoint m-accretive extensions of  $S$  and let  $g \in \text{Dom}(\tilde{S})$ . Then by (3.2) the vector  $g$  has the representation  $g = \varphi + h + S_F \tilde{U}h$ , where  $h \in \text{Dom}(\tilde{U})$ . We will use the relation (3.7):

$$(\tilde{S}g, g) - \|S_K^{1/2}g\|^2 = (h, \tilde{U}h)_+ - w_0[\tilde{U}h].$$

Let  $\psi \in \text{Dom}(S)$ . Then

$$(\tilde{S}(g - \psi), g - \psi) = \|S_K^{1/2}(g - \psi)\|^2 + (h, \tilde{U}h)_+ - w_0[\tilde{U}h].$$

Now it follows from (2.30)

$$\inf \{ \text{Re}(\tilde{S}(g - \psi), g - \psi), \psi \in \text{Dom}(S) \} = (h, \tilde{U}h)_+ - w_0[\tilde{U}h].$$

Therefore, the extension  $\tilde{S}$  is extremal if and only if  $(h, \tilde{U}h)_+ = w_0[\tilde{U}h]$  for every  $h \in \text{Dom}(\tilde{U})$ . Passing to the inverse in the last equality we get the equivalent condition (3.14).

In terms of the fractional linear transformations of  $\tilde{U}$  and  $\mathbf{W}_0(W_0^{-1})$  condition (3.13) takes the form (see (2.8))

$$\text{Re}((I - \tilde{U})e, e)_+ - \left\| (I + \mathcal{W}_0)^{-1/2}(I - \tilde{U})e \right\|_+^2 = 0, \quad e \in \mathfrak{N}_F.$$

Using the (3.12) we obtain

$$\frac{1}{2} \text{Re}((I + \tilde{\mathcal{Y}})\varphi, \varphi)_+ = \frac{1}{4} \|(I + \tilde{\mathcal{Y}})\varphi\|_+^2,$$

where  $\varphi = (I + \mathcal{W}_0)^{1/2}e$  which is the same as  $\|\tilde{\mathcal{Y}}\varphi\|_+ = \|\varphi\|_+$  for all  $\varphi \in \overline{\mathfrak{N}}_0$ .

If the operator  $W_0^{-1}$  is  $(+)$ -bounded then according (3.8) the condition (3.13) takes the form

$$\frac{1}{2} \operatorname{Re} \left( (I + \tilde{Z}) W_0^{-1/2} e, W_0^{-1/2} e \right)_+ = \frac{1}{4} \left\| (I + \tilde{Z}) W_0^{-1/2} e \right\|_+^2, \quad e \in \mathfrak{N}_F,$$

which is the same as  $\|\tilde{Z}\varphi\|_+ = \|\varphi\|_+$  for all  $\varphi \in \overline{\mathfrak{N}}_0$ .  $\square$

#### 4 Symmetric Operator with Finite Defect Numbers

Consider an operator  $S$  with finite defect numbers.

**Proposition 4.1** *Suppose that nonnegative symmetric operator  $S$  has defect numbers  $\langle m, m \rangle$ ,  $m \in \mathbb{N}$ ,  $\mathfrak{N}_0 = \mathfrak{N}_F$  and let  $\{e_1, e_2, \dots, e_m\}$  be a linear basis of the subspace  $\mathfrak{N}_F$ . Denote by  $\mathcal{G}$  and  $\mathcal{W}$  following  $m \times m$  matrices:*

$$\mathcal{G} = \|(e_k, e_j)_+\|_{k,j=1}^m, \quad \mathcal{W}_0 = \|w_0[e_k, e_j]\|_{k,j=1}^m.$$

There is a one-to-one correspondence between

- 1) all  $m$ -accretive quasi-self-adjoint extensions of  $S$  and all  $m \times m$  matrices  $\mathcal{U} = \|u_{kj}\|_{k,j=1}^m$ , satisfying the condition

$$\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^* \geq 2\mathcal{U}\mathcal{W}_0\mathcal{U}^*; \quad (4.1)$$

- 2) all  $m$ - $\alpha$ -sectorial quasi-self-adjoint extensions of  $S$  and all  $m \times m$  matrices  $\mathcal{U} = \|u_{kj}\|_{k,j=1}^m$ , satisfying the condition

$$\begin{cases} \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) + i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*, \\ \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*. \end{cases} \quad (4.2)$$

This correspondence is given by the formulas

$$\begin{aligned} \operatorname{Dom}(\tilde{S}) &= \left\{ f + \sum_{j=1}^m \lambda_j e_j + \sum_{k,j=1}^m u_{kj} \lambda_k S_F e_j, \quad f \in \operatorname{Dom}(S), \quad (\lambda_1, \dots, \lambda_m) \in \mathbb{C}^m \right\}, \\ \tilde{S} \left( f + \sum_{j=1}^m \lambda_j e_j + \sum_{k,j=1}^m u_{kj} \lambda_k S_F e_j \right) &= S_F f + \sum_{j=1}^m \lambda_j S_F e_j - \sum_{k,j=1}^m u_{kj} \lambda_k e_j. \end{aligned}$$

If  $\mathcal{U} = \mathcal{G}\mathcal{W}_0^{-1}$ , then the corresponding extension is the Kreĭn-von Neumann extension  $S_K$ .

*Proof* Let  $h = \sum_{j=1}^m \lambda_j e_j \in \mathfrak{N}_F$  and let  $U$  be the operator in  $\mathfrak{N}_F$  given by

$$U \left( \sum_{j=1}^m \lambda_j e_j \right) := \sum_{k,j=1}^m u_{kj} \lambda_k e_j.$$

Then

$$(Uh, h)_+ = \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{l=1}^m u_{jl} (e_l, e_k)_+ \right). \quad (4.3)$$

Observe that the matrix  $\mathcal{W} = \|w_{kj}\|_{k,j=1}^m$  of the operator  $W_0$  associated with the form  $w_0[\cdot, \cdot]$  in the basis  $\{e_j\}_{j=1}^m$  coincides with the matrix  $\mathcal{W}_0 \mathcal{G}^{-1}$ . Indeed since

$$w_0[h] = (W_0 h, h)_+ = \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{l=1}^m w_{jl} (e_l, e_k)_+ \right)$$

and

$$w_0[h] = \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k w_0[e_j, e_k], \quad (4.4)$$

we get  $\mathcal{W}_0 = \mathcal{W} \mathcal{G}$ .

Denote  $g_{kj} = (e_k, e_j)_+$  and  $w_{kj}^0 = w_0[e_k, e_j]$ . Due to (4.3), (4.4) the condition

$$\operatorname{Re} (Uh, h)_+ \geq \omega_0[Uh], h \in \operatorname{Dom} (U)$$

can be rewritten as follows

$$\sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} + g_{js} \bar{u}_{ks}) - 2 \sum_{s,l=1}^m u_{js} w_{sl}^0 \bar{u}_{kl} \right) \geq 0.$$

This yields

$$\mathcal{U} \mathcal{G} + \mathcal{G} \mathcal{U}^* - 2 \mathcal{U} \mathcal{W}_0 \mathcal{U}^* \geq 0.$$

Extension  $\tilde{S}$  is  $m$ - $\alpha$ -sectorial iff the sesquilinear form

$$q[h, e] := (Uh, e)_+ - w_0[Uh, Ue] \quad (4.5)$$

is  $\alpha$ -sectorial, i.e.

$$|\operatorname{Im} q[h]| \leq \tan \alpha \cdot \operatorname{Re} q[h], h \in \operatorname{Dom} (U). \quad (4.6)$$



From (4.3) and (4.4) we get

$$\begin{aligned}\operatorname{Re} q[h] &= \frac{1}{2} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} + g_{js} \bar{u}_{ks}) - 2 \sum_{s,l=1}^m u_{js} w_{sl}^0 \bar{u}_{kl} \right), \\ \operatorname{Im} q[h] &= \frac{1}{2i} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} - g_{js} \bar{u}_{ks}) \right).\end{aligned}$$

Then (4.6) becomes:

$$\begin{cases} \frac{1}{2i} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} - g_{js} \bar{u}_{ks}) \right) \\ \leq \tan \alpha \cdot \frac{1}{2} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} + g_{js} \bar{u}_{ks}) - 2 \sum_{s,l=1}^m u_{js} w_{sl}^0 \bar{u}_{kl} \right), \\ \frac{1}{2i} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (g_{js} \bar{u}_{ks} - u_{js} g_{sk}) \right) \\ \leq \tan \alpha \cdot \frac{1}{2} \sum_{k,j=1}^m \lambda_j \bar{\lambda}_k \left( \sum_{s=1}^m (u_{js} g_{sk} + g_{js} \bar{u}_{ks}) - 2 \sum_{s,l=1}^m u_{js} w_{sl}^0 \bar{u}_{kl} \right). \end{cases}$$

The latter gives

$$\begin{cases} \frac{1}{2i} (\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \leq \tan \alpha \cdot (\frac{1}{2}(\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - \mathcal{U}\mathcal{W}_0\mathcal{U}^*), \\ \frac{1}{2i} (\mathcal{G}\mathcal{U}^* - \mathcal{U}\mathcal{G}) \leq \tan \alpha \cdot (\frac{1}{2}(\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - \mathcal{U}\mathcal{W}_0\mathcal{U}^*).\end{cases}$$

In the equivalent form

$$\begin{cases} \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) + i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*, \\ \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*.\end{cases}$$

The fact that  $S_K$  is determined by  $\mathcal{U} = \mathcal{G}\mathcal{W}_0^{-1}$  is established in [17].  $\square$

## 5 m-Accretive Hamiltonians Corresponding to Finite Numbers of $\delta'$ Interactions

As application of our results we consider one example from solvable models of quantum mechanics [1]. Let  $y_1, y_2, \dots, y_m \in \mathbb{R}$ . Consider linear operator:

$$\begin{cases} \operatorname{Dom}(S) = \{f \in W_2^2(\mathbb{R}) : f'(y_j) = 0, j = 1, \dots, m\}, \\ S = -\frac{d^2}{dx^2}, \end{cases} \quad (5.1)$$

where  $W_2^2(\mathbb{R})$  is the Sobolev space. Operator  $S$  densely defined symmetric and non-negative operator in  $L_2(\mathbb{R})$  with defect numbers  $\langle m, m \rangle$ . It can be proved that the

Friedrichs extension  $S_F$  of  $S$  is given by:

$$\text{Dom}(S_F) = W_2^2(\mathbb{R}), \quad S_F = -\frac{d^2}{dx^2}.$$

Using the Fourier transform:

$$\hat{f}(p) = (\mathcal{F}f)(p) = \lim_{R \rightarrow \infty} \frac{1}{\sqrt{2\pi}} \int_{-R}^R f(x) e^{-ipx} dx$$

we obtain in the  $p$ -representation the nonnegative symmetric operator  $A$  and its Friedrichs extension  $A_F$ :

$$\text{Dom}(A) = \{h(p) \in L^2(\mathbb{R}, dp) : \int_{\mathbb{R}} h(p) p \exp(ip y_j) dp = 0, j = 1, \dots, m\},$$

$$(Ah)(p) = p^2 h(p), \quad h(p) \in \text{Dom}(A),$$

$$\text{Dom}(A_F) = H_2(\mathbb{R}) := L^2(\mathbb{R}, (p^4 + 1)dp),$$

$$(A_F h)(p) = p^2 h(p), \quad h(p) \in \text{Dom}(A_F).$$

Let  $e_j(p) = p \frac{\exp(-ipy_j)}{1+p^4}$ ,  $j = 1, \dots, m$ , then

$$\mathfrak{N}_F = \text{span}\{e_1(p), \dots, e_m(p)\},$$

$$\mathfrak{M}_F = \text{span}\{p^2 e_1(p), \dots, p^2 e_m(p)\}.$$

The adjoint operator is given by

$$\text{Dom}(A^*) = \text{Dom}(A) \dot{+} \mathfrak{N}_F \dot{+} \mathfrak{M}_F = H_2(\mathbb{R}) \dot{+} \mathfrak{M}_F,$$

$$A^*(f(p) + \sum_{j=1}^m \lambda_j p^2 e_j(p)) = p^2 f(p) - \sum_{j=1}^m \lambda_j e_j(p),$$

$$f(p) \in H_2(\mathbb{R}), (\lambda_1, \dots, \lambda_m) \in \mathbb{C}^m.$$

Since

$$\text{Dom}(A_F^{1/2}) = H_1(\mathbb{R}) := L^2(\mathbb{R}, (p^2 + 1)dp),$$

$$(A_F^{1/2} f)(p) = |p| f(p), \quad f(p) \in H_1(\mathbb{R}),$$

then

$$A_F^{-1/2} e_j(p) = \frac{p \exp(-ipy_j)}{|p|(1+p^4)} \in H_1(\mathbb{R}), \quad j = 1, \dots, m.$$

Clearly that  $A_F \neq A_K$  and  $\mathfrak{N}_0 = \mathfrak{N}_F$ . It follows that  $\mathfrak{N}_F = \mathfrak{N}_0 = \text{Ran}(A_F^{1/2}) \cap \mathfrak{N}_F$ . Hence, the Friedrichs and Kreĭn extensions  $A_F$  and  $A_K$  are transversal. Providing direct calculation we obtain:

$$\begin{aligned} g_{kj} &= (e_k(p), e_j(p))_+ = \frac{\pi}{\sqrt{2}} \exp\left(-\frac{|y_k - y_j|}{\sqrt{2}}\right) \left(\cos \frac{|y_k - y_j|}{\sqrt{2}} - \sin \frac{|y_k - y_j|}{\sqrt{2}}\right), \\ \omega_{kj} &= (A_F^{1/2} e_k(p), A_F^{1/2} e_j(p)) + (A_F^{-1/2} e_k(p), A_F^{-1/2} e_j(p)) \\ &= \frac{\pi}{\sqrt{2}} \exp\left(-\frac{|y_k - y_j|}{\sqrt{2}}\right) \left(\cos \frac{|y_k - y_j|}{\sqrt{2}} + \sin \frac{|y_k - y_j|}{\sqrt{2}}\right). \end{aligned}$$

Let

$$\mathcal{W}_0 = \|\omega_{kj}\|_{k,j=1}^m, \quad \mathcal{G} = \|g_{kj}\|_{k,j=1}^m.$$

From Proposition 4.1 we obtain next description of 1) all  $m$ -accretive quasi-self-adjoint extensions  $\tilde{A}$  of  $A$ , 2) all  $m$ - $\alpha$ -sectorial quasi-self-adjoint extensions  $\tilde{A}$  of  $A$ :

$$\begin{aligned} \text{Dom}(\tilde{A}) &= \left\{ f_0(p) + \sum_{j=1}^m \lambda_j e_j(p) + \sum_{k,j=1}^m u_{kj} \lambda_k p^2 e_j(p) \right\}, \\ f_0(p) &\in \text{Dom}(A), (\lambda_1, \dots, \lambda_m) \in \mathbb{C}^m, \\ \tilde{A} \left( f_0(p) + \sum_{j=1}^m \lambda_j e_j(p) + \sum_{k,j=1}^m u_{kj} \lambda_k p^2 e_j(p) \right) \\ &= p^2 f_0(p) + \sum_{j=1}^m \lambda_j p^2 e_j(p) - \sum_{k,j=1}^m u_{kj} \lambda_k e_j(p), \end{aligned}$$

where the matrices  $\mathcal{U} = \|u_{kj}\|_{k,j=1}^m$  satisfies the condition:

- 1)  $\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^* \geq 2\mathcal{U}\mathcal{W}_0\mathcal{U}^*$ ,
- 2)  $\begin{cases} \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) + i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*, \\ \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*. \end{cases}$

In case, when  $m = 1$  we get:

$$\begin{aligned} \text{Dom}(\tilde{A}) &= \left\{ f_0(p) + \lambda \frac{(1 + up^2) \exp(-ipy)}{1 + p^4} \right\}, \\ \tilde{A} \left( f_0(p) + \lambda \frac{(1 + up^2) \exp(-ipy)}{1 + p^4} \right) &= p^2 f_0(p) + \lambda p \frac{(p^2 - u) \exp(-ipy)}{1 + p^4}, \\ f_0(p) &\in \text{Dom}(A), \lambda \in \mathbb{C}, y \in \mathbb{R}, \\ \left( \text{Re } u - \frac{1}{2} \right)^2 + (\text{Im } u)^2 &\leq \frac{1}{4} \quad \text{for } m\text{-accretive extensions,} \end{aligned}$$

$$\left(\operatorname{Re} u - \frac{1}{2}\right)^2 + \left(\operatorname{Im} u \pm \frac{\cot \alpha}{2}\right)^2 \leq \frac{1}{4 \sin^2 \alpha} \quad \text{for } m\text{-}\alpha\text{-sectorial extensions.}$$

The inverse Fourier transform  $\mathcal{F}^{-1}$  is given by the next equality

$$\mathcal{F}^{-1} \hat{f} = f(x) = \lim_{R \rightarrow \infty} \frac{1}{\sqrt{2\pi}} \int_{-R}^R \hat{f}(p) \exp(ipx) dp.$$

We have  $S = \mathcal{F}^{-1} A \mathcal{F}$ ,  $S_F = \mathcal{F}^{-1} A_F \mathcal{F}$ .

Providing direct calculation we obtain:

$$\begin{aligned} \mathcal{F}^{-1} e_j(p) &= g_j(x) = i \sqrt{\frac{\pi}{2}} \exp\left(-\frac{|x - y_j|}{\sqrt{2}}\right) \sin\left(\frac{|x - y_j|}{\sqrt{2}}\right), \\ \mathcal{F}^{-1} A_F e_j(p) &= h_j(x) = i \sqrt{\frac{\pi}{2}} \exp\left(-\frac{|x - y_j|}{\sqrt{2}}\right) \cos\left(\frac{|x - y_j|}{\sqrt{2}}\right). \end{aligned}$$

Since  $\mathcal{F}$  the unitary operator we obtain next theorem.

**Theorem 5.1** *Let operator  $S$  is defined as (5.1). Then formulas*

$$\begin{aligned} \operatorname{Dom}(\tilde{S}) &= \left\{ f_0(x) + \sum_{j=1}^m \lambda_j g_j(x) + \sum_{k,j=1}^m u_{kj} \lambda_k h_j(x) \right\}, \\ f_0(x) &\in \operatorname{Dom}(S), (\lambda_1, \dots, \lambda_m) \in \mathbb{C}^m, \\ \tilde{S} \left( f_0(x) + \sum_{j=1}^m \lambda_j g_j(x) + \sum_{k,j=1}^m u_{kj} \lambda_k h_j(x) \right) &= -\frac{d^2}{dx^2} f_0(x) + \sum_{j=1}^m \lambda_j h_j(x) - \sum_{k,j=1}^m u_{kj} \lambda_k g_j(x), \end{aligned}$$

give one-to-one correspondence between of

- 1) all  $m$ -accretive quasi-self-adjoint extensions  $\tilde{S}$  of  $S$  and all matrices  $\mathcal{U} = \|u_{kj}\|_{k,j=1}^m$  satisfying the condition

$$\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^* \geq 2\mathcal{U}\mathcal{W}_0\mathcal{U}^*,$$

- 2) all  $m$ - $\alpha$ -sectorial quasi-self-adjoint extensions  $\tilde{S}$  of  $S$  and all matrices  $\mathcal{U} = \|u_{kj}\|_{k,j=1}^m$  satisfying the condition

$$\begin{cases} \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) + i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*, \\ \tan \alpha \cdot (\mathcal{U}\mathcal{G} + \mathcal{G}\mathcal{U}^*) - i(\mathcal{U}\mathcal{G} - \mathcal{G}\mathcal{U}^*) \geq 2 \tan \alpha \cdot \mathcal{U}\mathcal{W}_0\mathcal{U}^*. \end{cases}$$

In particular, if  $m = 1$  then

$$\begin{aligned} \text{Dom}(\tilde{S}) &= \left\{ f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left( \sin \frac{|x-y|}{\sqrt{2}} + u \cos \frac{|x-y|}{\sqrt{2}} \right) \right\}, \\ f_0(x) &\in \text{Dom}(S), \quad \lambda \in \mathbb{C}, \quad y \in \mathbb{R}, \\ \left( \text{Re } u - \frac{1}{2} \right)^2 + (\text{Im } u)^2 &\leq \frac{1}{4} \quad \text{for } m\text{-accretive extensions,} \\ \left( \text{Re } u - \frac{1}{2} \right)^2 + \left( \text{Im } u \pm \frac{\cot \alpha}{2} \right)^2 &\leq \frac{1}{4 \sin^2 \alpha} \quad \text{for } m\text{-}\alpha\text{-sectorial extensions} \\ \tilde{S} \left( f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left( \sin \frac{|x-y|}{\sqrt{2}} + u \cos \frac{|x-y|}{\sqrt{2}} \right) \right) \\ &= -\frac{d^2}{dx^2} f_0(x) + \lambda \exp\left(-\frac{|x-y|}{\sqrt{2}}\right) \left( \cos \frac{|x-y|}{\sqrt{2}} - u \sin \frac{|x-y|}{\sqrt{2}} \right). \end{aligned}$$

## 6 Resolvents of Quasi-Self-Adjoint $m$ -Accretive Extensions

### 6.1 Boundary Triplets and Abstract Boundary Conditions

Recall the definition of the boundary triplet (boundary value space) [33,34].

**Definition 6.1** The triplet  $\{\mathcal{H}, \Gamma_1, \Gamma_0\}$  is called a boundary triplet of  $S^*$  if  $\mathcal{H}$  is a Hilbert space and  $\Gamma_0, \Gamma_1$  are bounded linear operators from the Hilbert space  $H_+ = \text{Dom}(S^*)$  with the graph norm into  $\mathcal{H}$  such that the map  $\vec{\Gamma} = \langle \Gamma_0, \Gamma_1 \rangle$  is a surjection from  $H_+$  onto  $\mathcal{H}^2$  and the Green identity holds:

$$(S^* f, g) - (f, S^* g) = (\Gamma_1 f, \Gamma_0 g)_{\mathcal{H}} - (\Gamma_0 f, \Gamma_1 g)_{\mathcal{H}} \quad \text{for all } f, g \in H_+. \quad (6.1)$$

The relations

$$\text{Dom}(\tilde{S}) = \left\{ u \in \text{Dom}(S^*) : \vec{\Gamma} u \in \vec{\mathbf{T}} \right\}, \quad \tilde{S} = S^* \upharpoonright \text{Dom}(\tilde{S}) \quad (6.2)$$

give a one-to-one correspondence between all proper extensions  $\tilde{S}$  of  $S$  ( $S \subset \tilde{S} \subset S^*$ ) and all linear relations  $\vec{\mathbf{T}}$  in  $\mathcal{H}$ . An extension  $\tilde{S}$  is a self-adjoint one if and only if the relation  $\vec{\mathbf{T}}$  is self-adjoint in  $\mathcal{H}$ .

As it was shown in [24,25] the operators  $S_0, S_1$  defined as follows

$$S_k = S^* \upharpoonright \text{Ker } \Gamma_k, \quad k = 0, 1$$

are transversal to each other self-adjoint extensions of  $S$ . The function  $\Gamma_0(\lambda) = (\Gamma_0 \upharpoonright \mathfrak{N}_\lambda)^{-1}$  is the  $\gamma$ -field corresponding to  $S_0$  [39,40]. Note that as a consequence of (6.1) one can obtain the equality

$$\Gamma_0(\bar{\lambda}) = \left( \Gamma_1(S_0 - \lambda I)^{-1} \right)^*. \quad (6.3)$$

Derkach and Malamud [23–25] define the Weyl (Weyl–Titchmarsh) function  $M_0(\lambda)$  by the equality

$$M_0(\lambda) = \Gamma_1 \Gamma_0(\lambda). \quad (6.4)$$

The function  $M_0$  is Kreĭn–Langer  $Q$ -function [39,40]. In terms of boundary triplet the connection between a self-adjoint extension  $\tilde{S}_{\tilde{T}}$  defined by relations (6.2) and its resolvent is given by

$$(\tilde{S}_{\tilde{T}} - \lambda I)^{-1} = (S_0 - \lambda I)^{-1} + \Gamma_0(\lambda) (\tilde{T} - M_0(\lambda))^{-1} \Gamma_0^*(\bar{\lambda}). \quad (6.5)$$

The triplet  $\{\mathcal{H}, -\Gamma_0, \Gamma_1\}$  also forms a boundary triplet of  $S$  and the  $\gamma$ -field  $\Gamma_1(\lambda) = (\Gamma_1|_{\mathfrak{N}_\lambda})^{-1}$  corresponding to the self-adjoint extension  $S_1$  determines the Weyl–Titchmarsh function  $M_1(\lambda) = -\Gamma_0 \Gamma_1(\lambda)$  which is connected with  $M_0(\lambda)$  by the relation  $M_1(\lambda) = -M_0^{-1}(\lambda)$ .

Let  $S$  be a nonnegative symmetric operator and let  $S_0 = S_0^* \geq 0$  be an extension of  $S$ . Choose the boundary triplet  $\{\mathcal{H}, \Gamma_1, \Gamma_0\}$  such that  $\text{Ker } \Gamma_0 = \text{Dom } (S_0)$ . It was established [23–25] (see also [26,31,32,42]) the following theorem.

**Theorem 6.2** *Let  $S$  be a closed nonnegative symmetric operator. Then  $S$  has a non-unique nonnegative self-adjoint extension if and only if*

$$\mathcal{D} = \left\{ h \in \mathcal{H} : \lim_{x \uparrow 0} (M_0(x)h, h)_{\mathcal{H}} < \infty \right\} \neq \{0\},$$

and the quadratic form

$$\tau[h] = \lim_{x \uparrow 0} (M_0(x)h, h)_{\mathcal{H}}, \quad \mathcal{D}[\tau] = \mathcal{D}$$

is bounded from below. If  $M_0(0)$  is a self-adjoint linear relation in  $\mathcal{H}$  associated with  $\tau$ , then the Kreĭn–von Neumann extension  $S_K$  can be defined by the boundary condition

$$\text{Dom } (S_K) = \{u \in \text{Dom } (S^*) : \langle \Gamma_0 u, \Gamma_1 u \rangle \in M_0(0)\}.$$

The relation  $M_0(0)$  is also the strong resolvent limit of  $M_0(x)$  when  $x \rightarrow -0$ . Moreover,  $S_0$  and  $S_K$  are disjoint iff  $\overline{\mathcal{D}} = \mathcal{H}$  and transversal iff  $\mathcal{D} = \mathcal{H}$ . In addition, if  $S_0 = S_F$ , then there is a one-to-one correspondence given by (6.2) between nonnegative self-adjoint extensions  $\tilde{S}_{\tilde{T}}$  and self-adjoint relations  $\tilde{T}$  satisfying the condition

$$\tilde{T} \geq M_0(0). \quad (6.6)$$

## 6.2 Special Boundary Triplet and Description of Resolvents of Quasi-Self-Adjoint m-Accretive Extensions

Denote by  $P_{\mathfrak{M}_F}^+$  the orthogonal projection in  $H_+$  onto  $\mathfrak{M}_F$ . Put

$$\mathcal{H} = \mathfrak{N}_F, \quad \Gamma_0 = -S^*P_{\mathfrak{M}_F}^+, \quad \Gamma_1 = P_{\mathfrak{N}_F}^+. \quad (6.7)$$

Using the relations  $S^*S_F e = -e$ ,  $e \in \mathfrak{N}_F$  and  $S_F S^* h = -h$ ,  $h \in \mathfrak{M}_F = S_F \mathfrak{N}_F$  one can easily check that the triplet  $\{\mathfrak{N}_F, \Gamma_1, \Gamma_0\}$  is a boundary triplet for  $S^*$ ,  $\text{Ker } \Gamma_0 = \text{Dom}(S_F)$  and

$$\Gamma_0(\lambda)e = (S_F - \lambda I)^{-1}(I + \lambda S_F)e + S_F e, \quad e \in \mathfrak{N}_F$$

is the  $\gamma$ -field corresponding to  $S_F$ . The Weyl–Titchmarsh function (6.4) in this case takes the form

$$M_0(\lambda) = P_{\mathfrak{N}_F}^+ (S_F - \lambda I)^{-1} (I + \lambda S_F) \upharpoonright \mathfrak{N}_F. \quad (6.8)$$

It is easy to verify that from (6.3) follows the relation

$$\Gamma_0^*(\bar{\lambda}) = P_{\mathfrak{N}_F}^+ (S_F - \lambda I)^{-1}.$$

The next statement is established in [17].

**Proposition 6.3** *Suppose that  $\text{Ran}(S_F^{1/2}) \cap \mathfrak{N}_F = \mathfrak{N}_0 \neq \{0\}$ . Then*

$$\begin{aligned} \mathfrak{N}_0 &= \left\{ e \in \mathfrak{N}_F : \lim_{x \uparrow 0} (M_0(x)e, e)_+ < \infty \right\}, \\ \lim_{x \uparrow 0} (M_0(x)e, e)_+ &= w_0[e], \quad e \in \mathfrak{N}_0. \end{aligned} \quad (6.9)$$

Now we obtain that the linear relation  $\mathbf{W}_0$  is associated with the closed quadratic form

$$\lim_{x \uparrow 0} (M_0(x)e, e)_+ = w_0[e], \quad e \in \mathfrak{N}_0.$$

Let  $\tilde{S}$  be a quasi-self-adjoint m-accretive extension of  $S$ . By Theorem 2.8 we have

$$\text{Dom}(\tilde{S}) = \text{Dom}(S) \dot{+} (I + S_F \tilde{U}) \text{Dom}(\tilde{U}),$$

where the  $(+)$ -m-accretive operator  $\tilde{U}$  satisfies condition (3.3). From (6.7) for  $\vec{\Gamma} = \langle \Gamma_0, \Gamma_1 \rangle$  we get

$$\vec{\Gamma} \text{Dom}(\tilde{S}) = \{\{\tilde{U}e, e\}, \quad e \in \text{Dom}(\tilde{U})\}$$

or

$$\text{Dom}(\tilde{S}) = \{u \in \text{Dom}(S^*) : \Gamma_0 u = \tilde{U} \Gamma_1 u\}, \quad \text{Re}(\tilde{U}e, e)_+ \geq w_0[\tilde{U}e], \quad e \in \text{Dom}(\tilde{U}).$$

So, we obtain the description of all quasi-self-adjoint  $m$ -accretive extensions in terms of boundary conditions. Now using (6.5) we get the following theorem.

**Theorem 6.4** *The formula*

$$\begin{aligned} (\tilde{S} - \lambda I)^{-1} &= (S_F - \lambda I)^{-1} \\ &+ \left[ (S_F - \lambda I)^{-1} (I + \lambda S_F) + S_F \right] \tilde{U} (I - M_0(\lambda) \tilde{U})^{-1} P_{\mathfrak{N}_F}^+ (S_F - \lambda I)^{-1} \end{aligned}$$

*establishes a one-to-one correspondence between all quasi-self-adjoint  $m$ -accretive extensions of  $S$  and all  $m$ -accretive operators  $\tilde{U}$  in  $\mathfrak{N}_F$  satisfying condition (3.3).*

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